

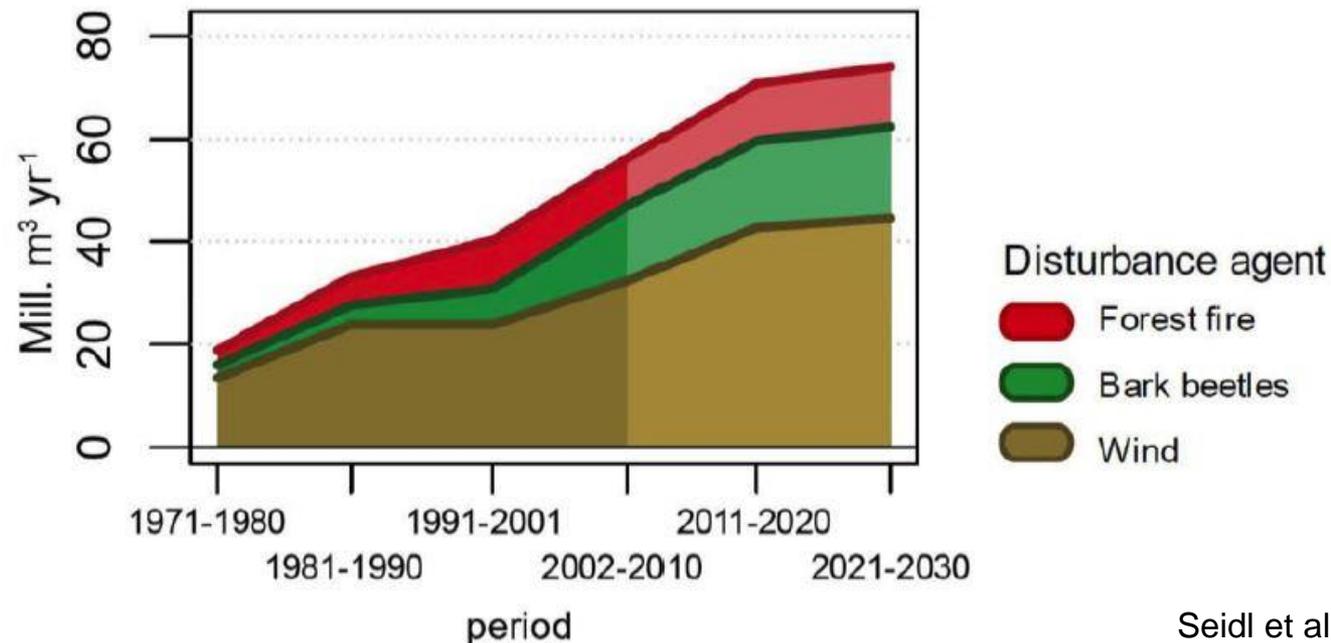
# Remote sensing- assisted mapping of bark beetle- induced tree mortality

Hooman Latifi

Dept. of Remote Sensing in cooperation with German Aerospace Center, University of Würzburg, Oswald-Külpe-Weg  
86, 97074 Würzburg

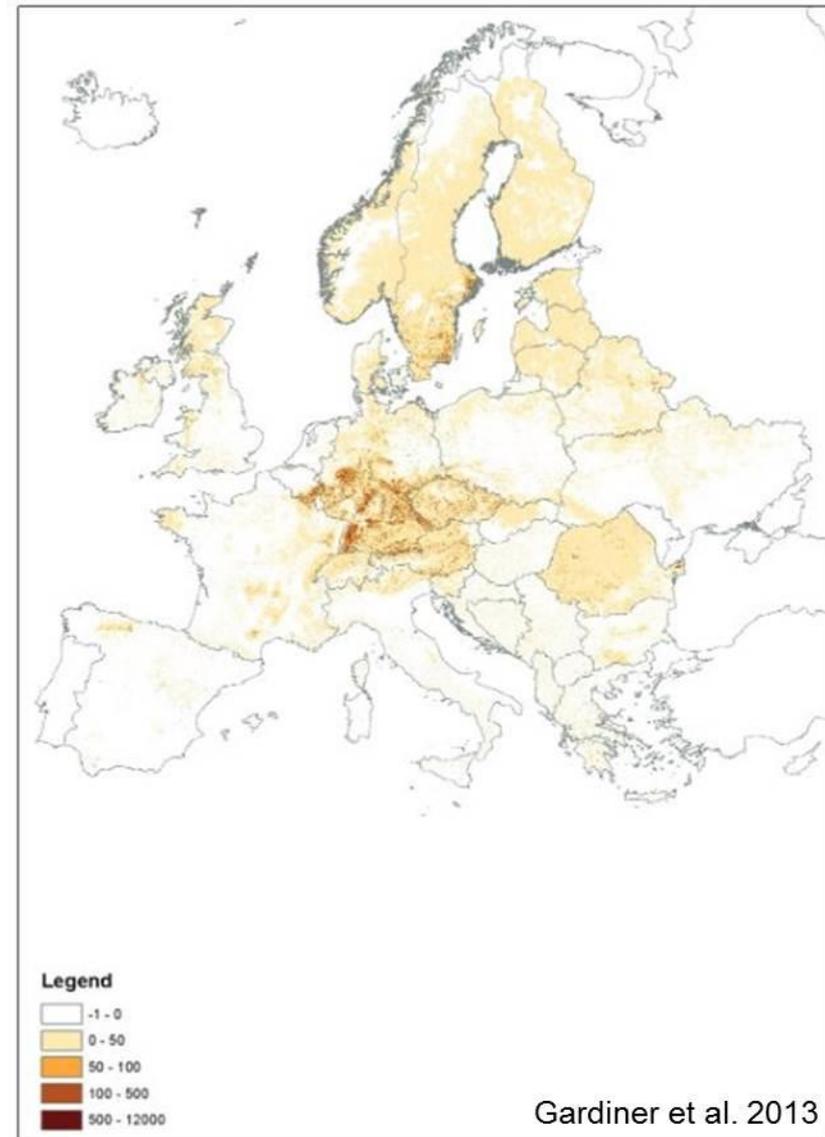
monitoring of stand dynamics in Białowieża Forest supported  
with remote sensing techniques  
Conference @  
BIAŁOWIEŻA, 2016

- Integral part of forest ecosystems
- Strongly influence the forest structure, composition and function
- Influence the forest spatial and temporal patterns



# Example: Forest disturbance caused by *Ips typographus* L.

- Biological agents account for over 60% of all calamities in European forest ecosystems
- Large-scale forest disturbances
- Mostly by *Ips typographus* L. in coniferous and mixed stands
- Effects on:
  - growth forms
  - wood production (living biomass)
  - landscape aesthetics

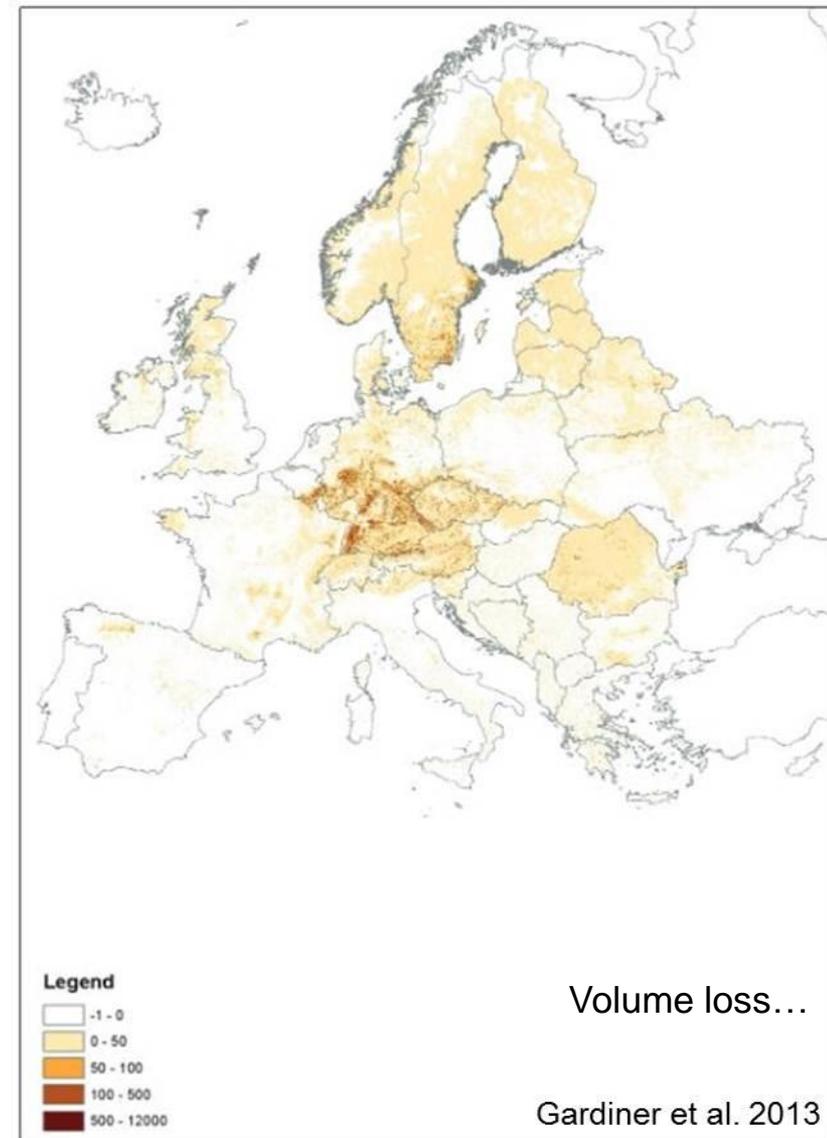


Gardiner et al. 2013

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Multitemporal and time series of optical imagery can help to assess the trajectories in space and time



# Many ways to die...



# Many ways to die...



# Many ways to die...



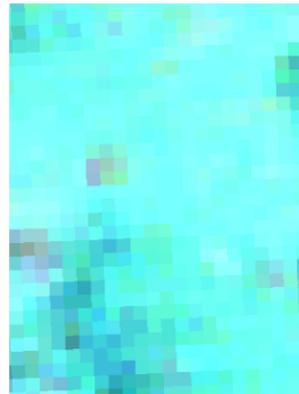
# Many ways to die...



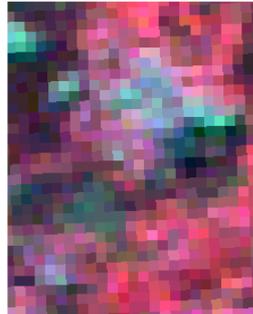
non-attacked in 2003



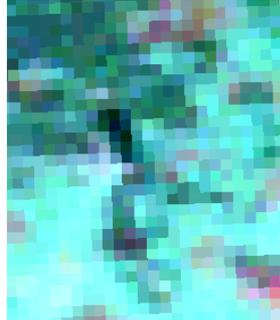
old-attacked in 2003



attacked 2003



attacked 2002



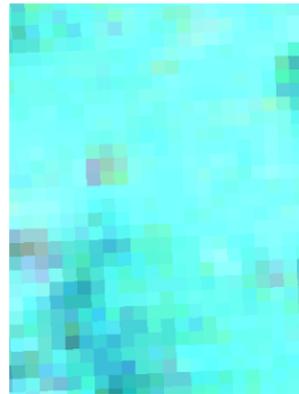
attacked 2001



non-attacked in 2003

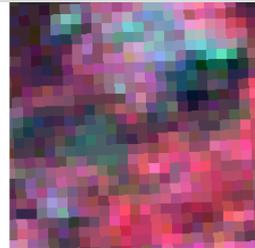


old-attacked in 2003

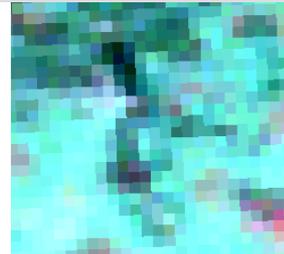


**...a real challenge for optical remote sensing**

attacked 2003



attacked 2002



attacked 2001

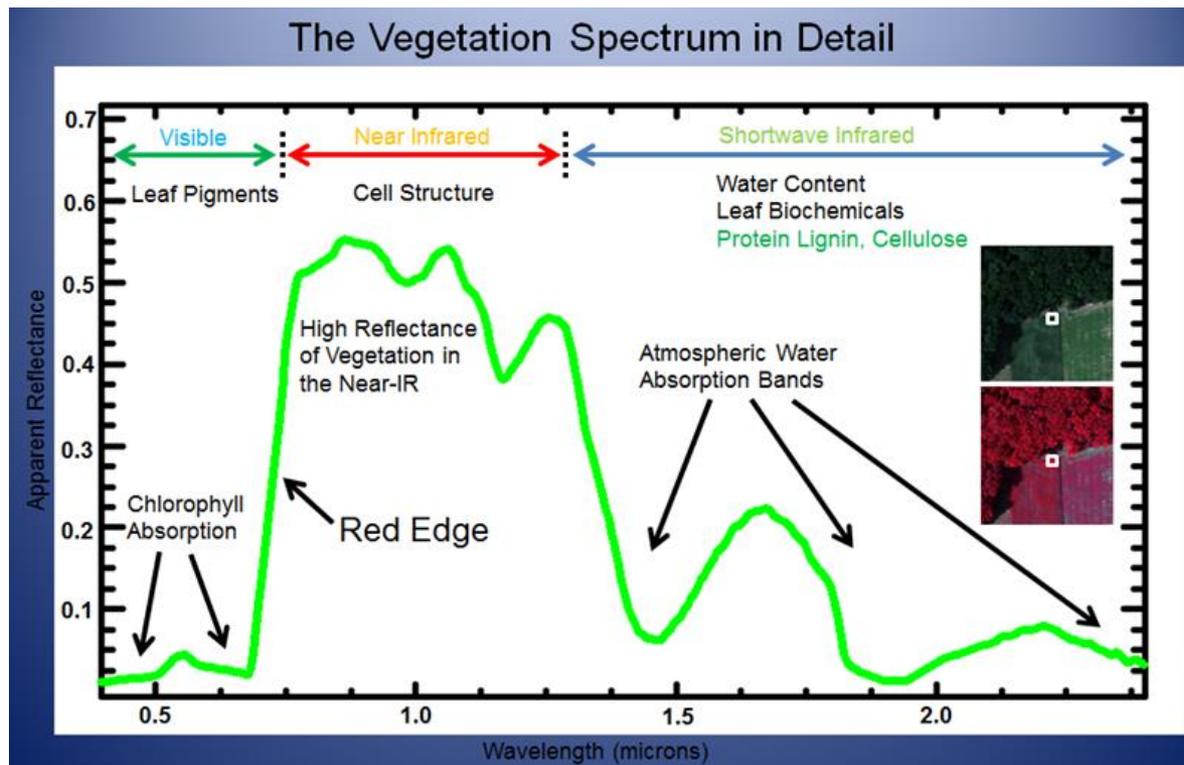


- I. Spatial separation → damage types (common classes)
  - I. Green-attacked
  - II. Red- attacked
  - III. Grey-attacked

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Traditional optical solution includes e.g. using vegetation indices



Groups of Vis:

- I. Ratio and Normalized Difference Indices (RNDI)
  - E.g. NDVI or Simple Ratio (SR)
- II. RNDI which incorporate correction factors
  - E.g. SAVI
- III. Derivative indices (narrow band)
  - E.g. Red edge position techniques
- IV. Indices which calculate areas (integral-based)
  - E.g. Triangular VI (TVI)

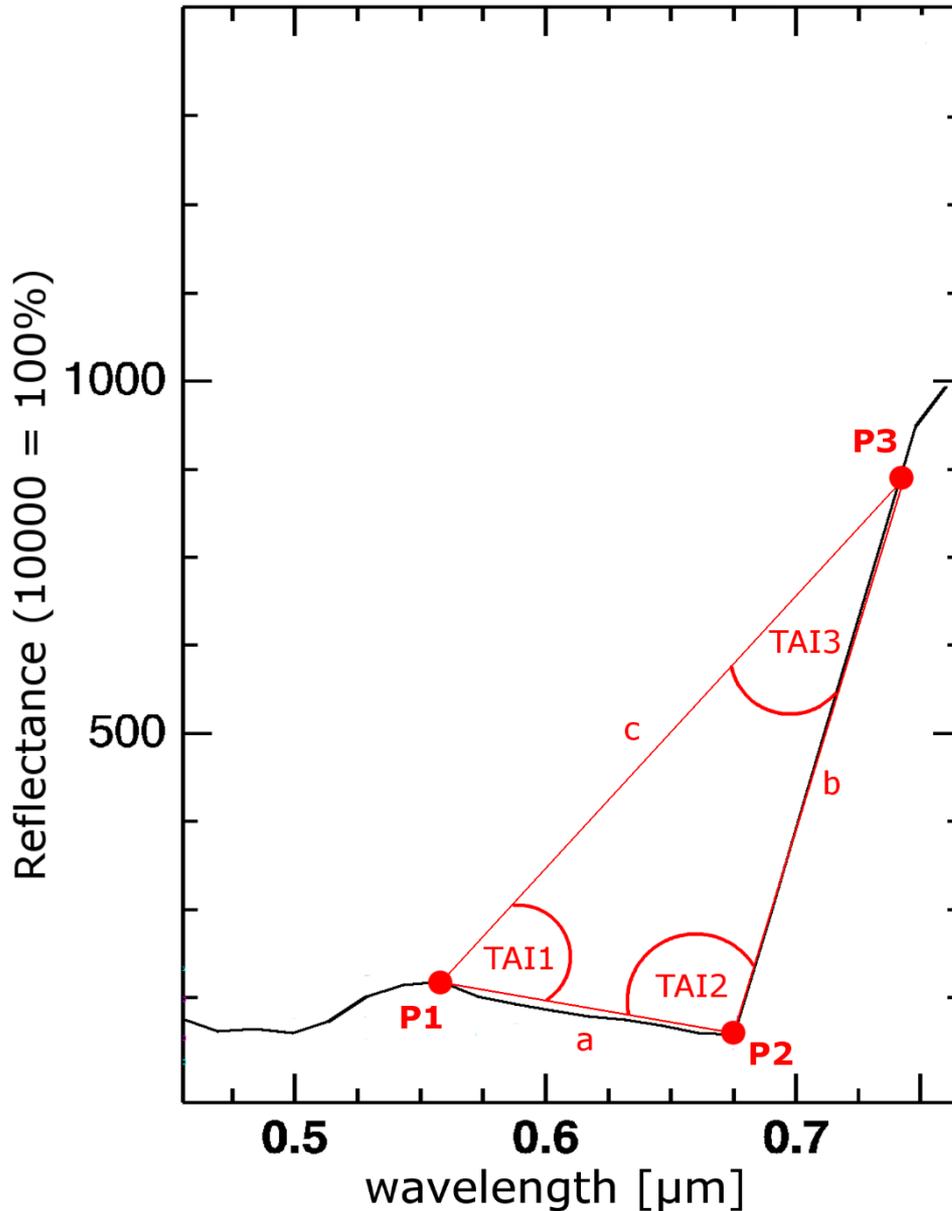
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  - E.g. Triangular VI (TVI)
- V. One approach: calculating the angles within a spectral triangle, instead of its area

Groups of

- I. Ratio  $\epsilon$ 
  - E.
- II. RNDI
  - E.
- III. Deriva
  - E.
- IV. Indices
  - E.

V. Our



instead of its

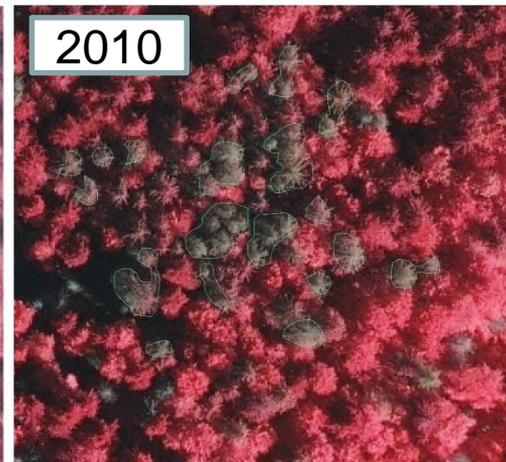
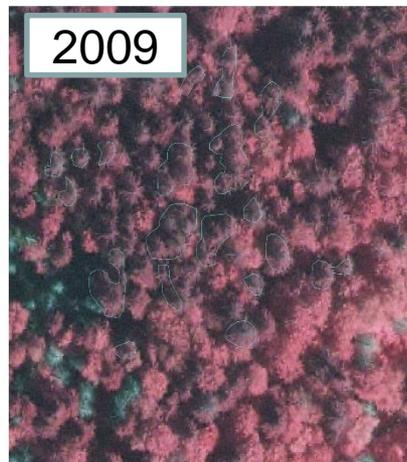
- 27417 Indices from all possible band combinations of 39 narrow band HyMap-channels in the VIS–NIR spectral range(0.455–0.986  $\mu\text{m}$ )
- Genetic Algorithm for feature selection
- 6 response classes including damage and non-damage stages, drawn from aerial orthophotos

Blue: heavy damage  
Yellow: medium damage

- 27417 Individual triangles based on narrow band range (0.45-0.65 μm)
- Genetic Algorithm
- 6 response stages, derived from



of 9139  
of 39  
IR spectral  
non-damage

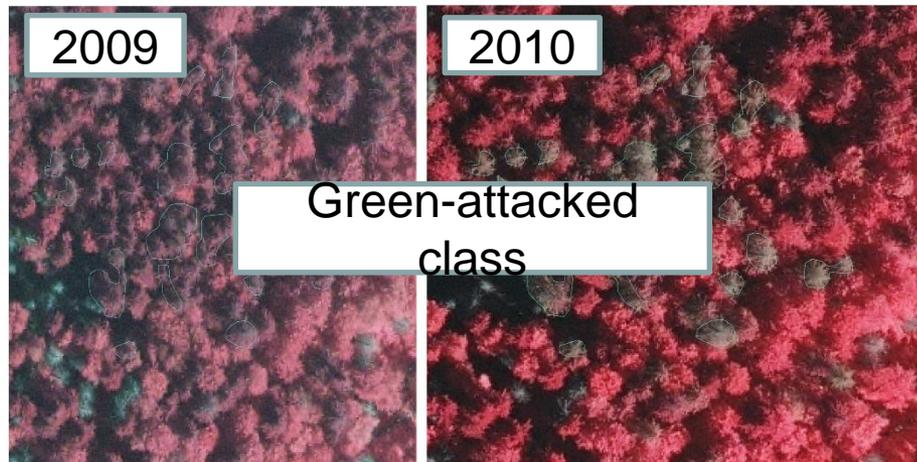


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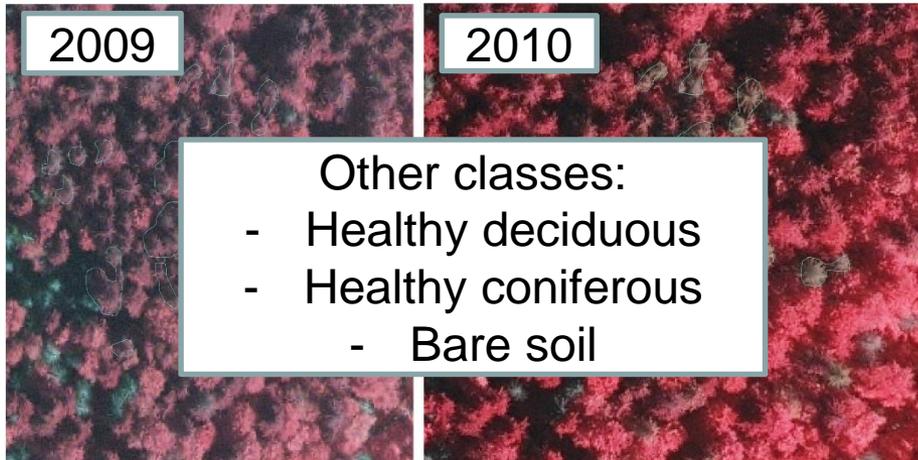


of 9139  
of 39  
IR spectral  
non-damage

2009

2010

- Other classes:
- Healthy deciduous
  - Healthy coniferous
  - Bare soil





**Table 1**

Confusion matrix of the best GA run using NC classifier for 2500 solutions. Producer's and user's accuracy are additionally given as measures of the overall prediction per class. Percentages of correctly classified samples are marked in gray.

	Heavy dam	Med dam	Green dam	Coniferous	Broadleaved	Bare Soil
Heavy dam	0.800	0.117	0	0.013	0	0.004
Med dam	0.171	0.792	0	0.031	0.003	0
Green dam	0	0	0.971	0.007	0.003	0
Coniferous	0.016	0.090	0	0.95	0.001	0
Broadleaved	0	0	0.028	0	0.989	0
Bare soil	0.013	0.001	0	0	0.004	0.996
Producers's accuracy	0.800	0.792	0.971	0.95	0.989	0.996
User's accuracy	0.902	0.727	0.989	0.889	0.973	0.969
Overall accuracy	0.9052		Kappa	0.888		

**Table 1**

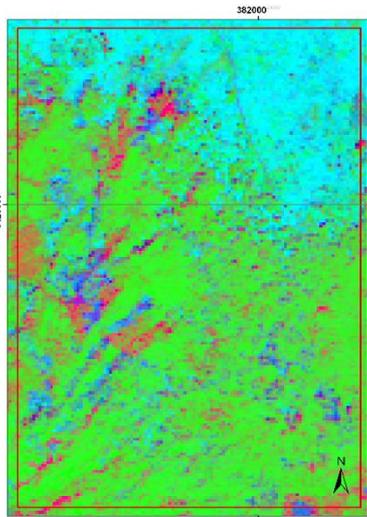
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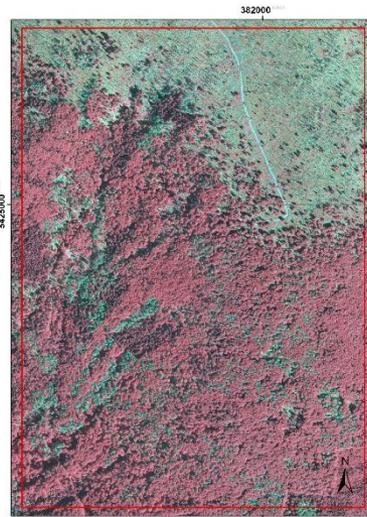
**Table 4**

Confusion matrix of the best GA-run using NC classifier for 2500 solutions. The descriptions follow those from Table 1.

	Heavy dam	Med dam	Green dam	Coniferous	Broadleaved	Bare soil
Heavy dam	0.884	0.203	0.006	0	0	0.009
Med dam	0.094	0.626	0.099	0.002	0	0.002
Green dam	0.015	0.1	0.702	0.123	0.009	0
Coniferous	0	0.034	0.168	0.873	0.002	0
Broadleaved	0	0.008	0.025	0.002	0.989	0
Bare soil	0.006	0.03	0.001	0	0	0.988
Producer's accuracy	0.884	0.626	0.702	0.873	0.989	0.988
User's accuracy	0.862	0.715	0.739	0.803	0.967	0.952
Overall accuracy	0.8438		Kappa	0.811		

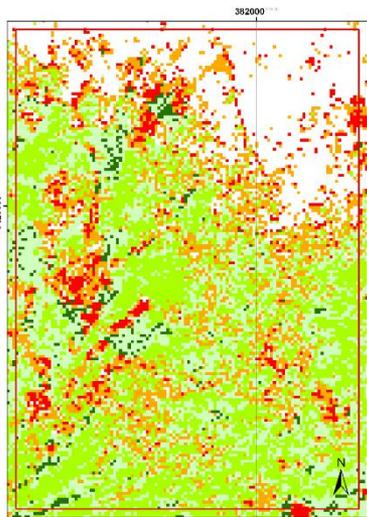


Coordinate System: WGS 1984 UTM Zone 33N  
Projection: Transverse Mercator  
Datum: WGS 1984

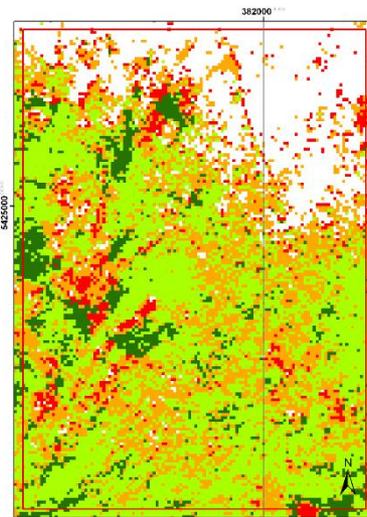


Coordinate System: WGS 1984 UTM Zone 33N  
Projection: Transverse Mercator  
Datum: WGS 1984

- Overestimation by classifying „green attacked“ class
- “medium” and “high” damage classes were not completely separable
- No field data available → green damage separation infeasible

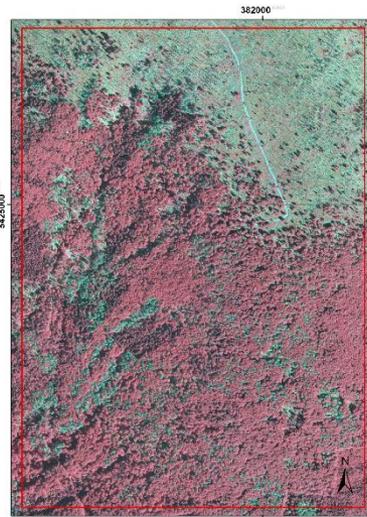
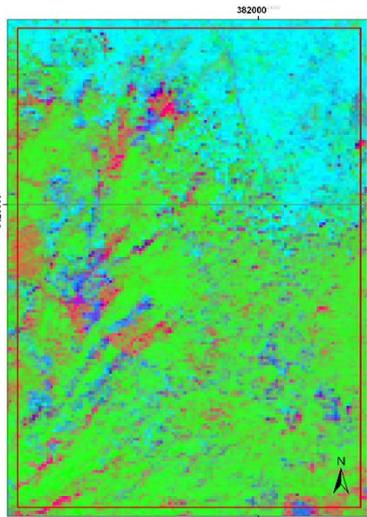


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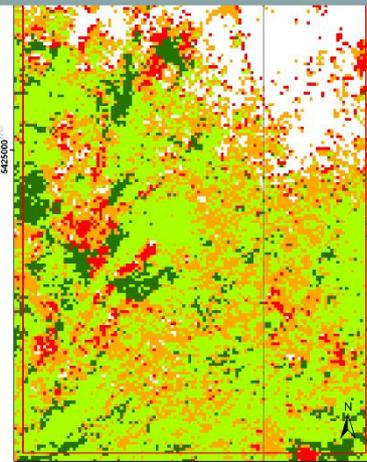
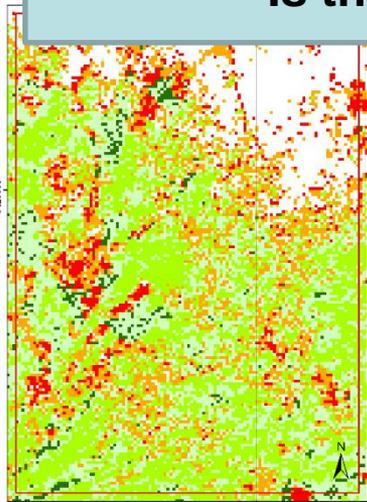
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**Is there any way to map the early stages of tree mortality?**



Coordinate System: WGS 1984 UTM Zone 33N  
Projection: Transverse Mercator  
Datum: WGS 1984

white	bare soil	green	green damage
light green	healthy broadleaved	yellow	medium damage
dark green	healthy coniferous	red	high damage

Coordinate System: WGS 1984 UTM Zone 33N  
Projection: Transverse Mercator  
Datum: WGS 1984

white	bare soil	orange	medium damage
light green	healthy broadleaved	red	high damage
dark green	healthy coniferous		

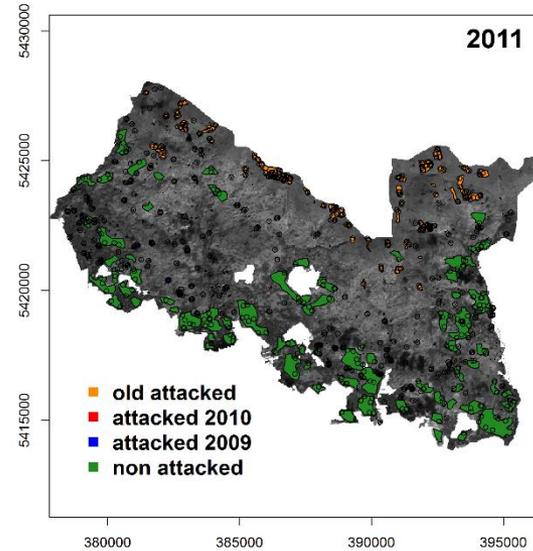
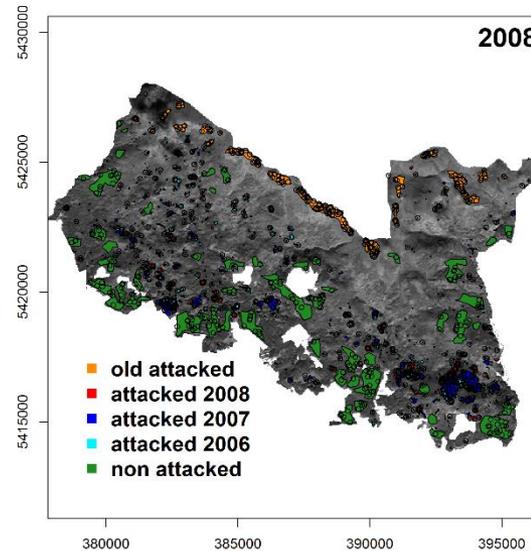
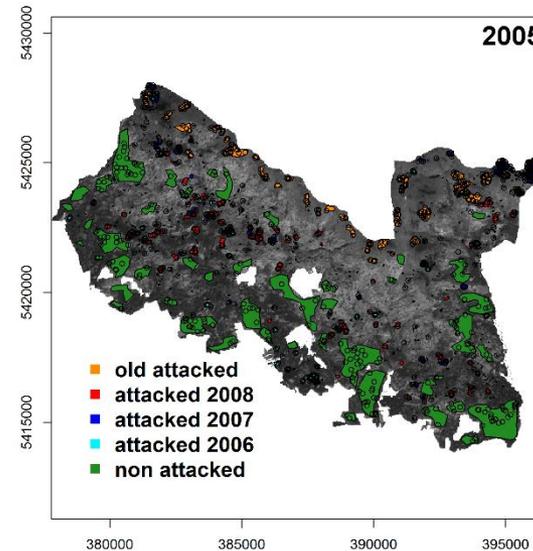
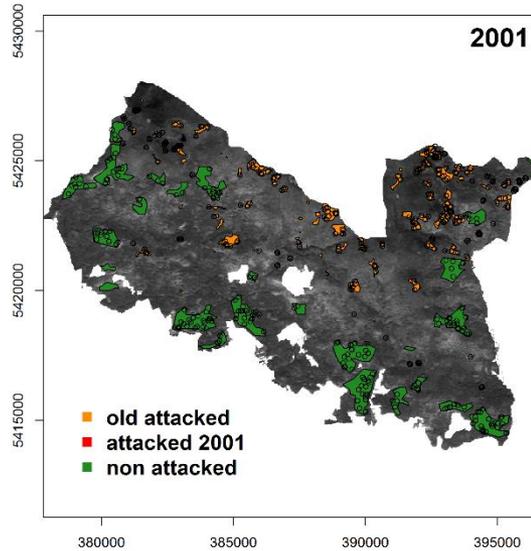
## Does this framework help?

- Multispectral, Multitemporal data → **freely available**
- Area-based scale → compromise the details
- Terminology: let's rename the classes to approximate the terms
- High-density sampling from aerial imagery

sample points drawn from reference sets

Does this

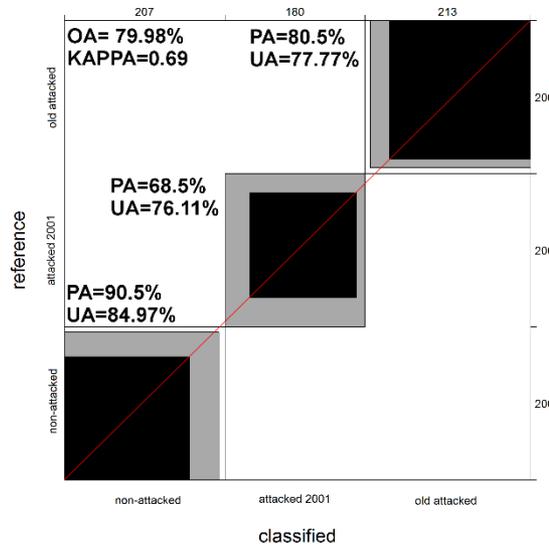
- Multispectral **available**
- Area-based
- Terminology
- terms
- High-resolution



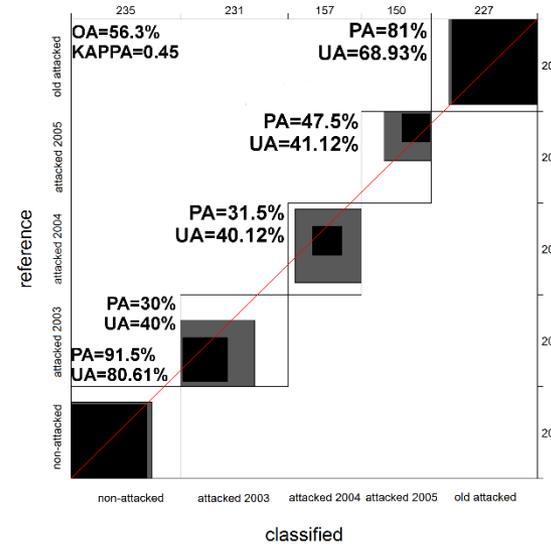
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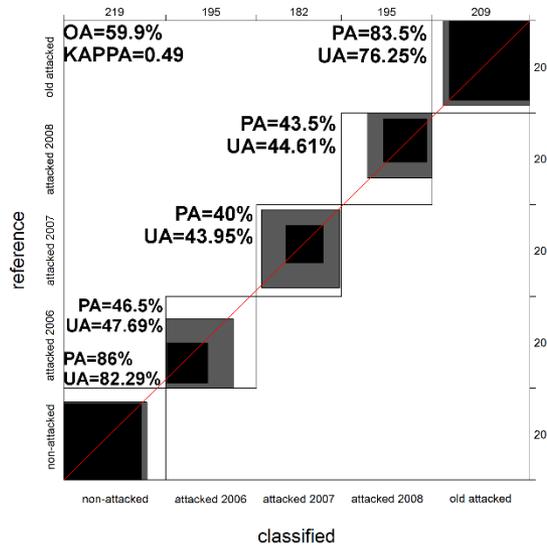
confusion matrix of classified SPOT 2001



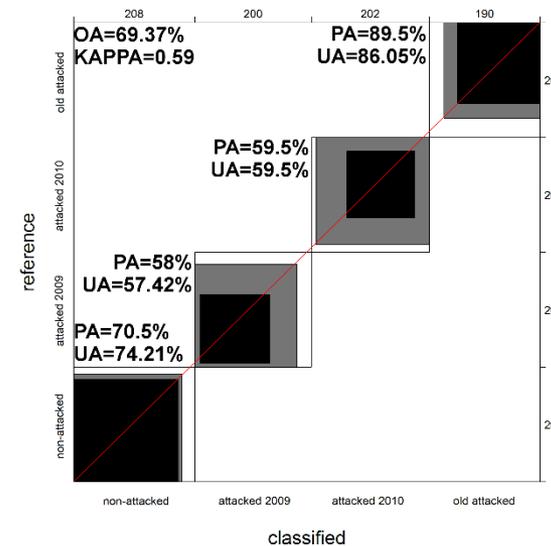
confusion matrix of classified SPOT 2005

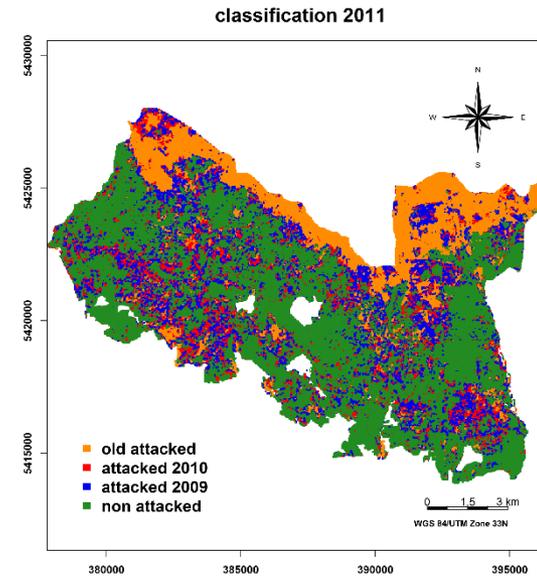
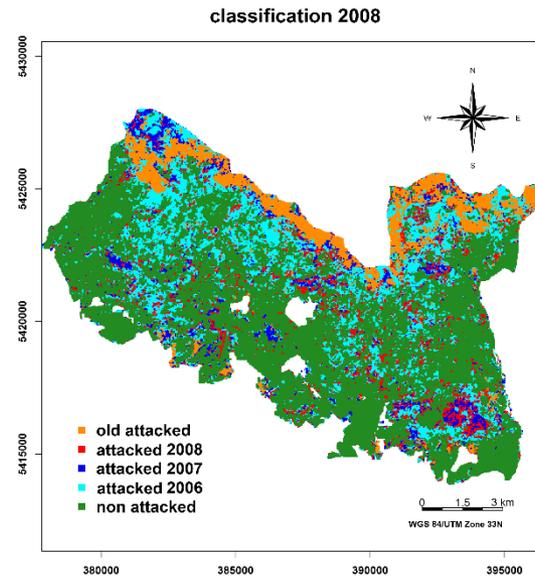
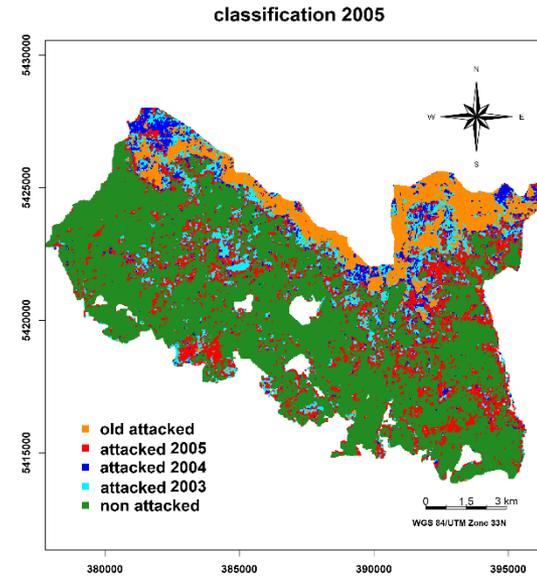
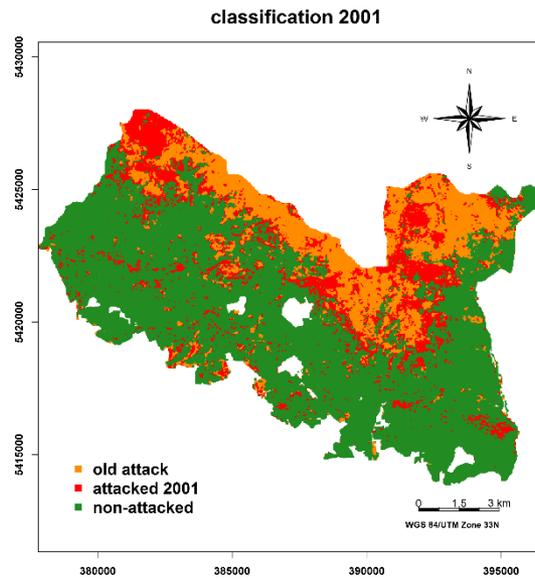


confusion matrix of classified SPOT 2008



confusion matrix of classified SPOT 2011





classification 2001

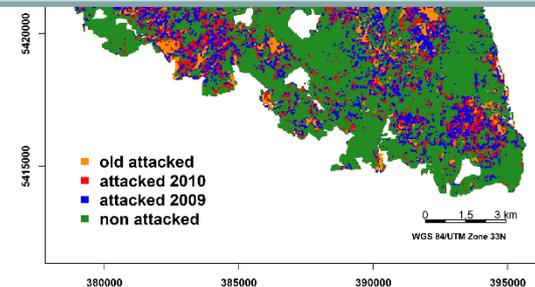
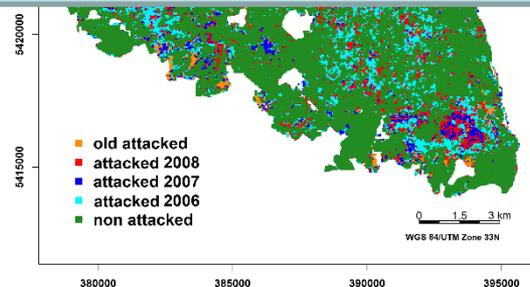


classification 2005



- Take-Home messages:

- visually-identifiable infested patches can be accurately classified
- Medium- and green stages (i.e. current year-1 and current year-2) are mostly commissioned or omissioned
- Non-attacked class may contain green-attack
- Decessive factors in classification:
  - Quality of reference data
  - Size of infested patches
  - Spatial resolution of imagery



classification 2001



classification 2005



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**Do further refinements (topographic info., object scale) improve the results?**



- 11-years of Landsat and SPOT data (one scene per year)
- Full object-based paradigm
- Testing:
  - Object size
  - Object aggregation
  - Pan-sharpening imagery
  - LiDAR DTM for deriving topographical metrics
  - Texture metrics

Acquisition date (aerial images)	Acquisition date (satellite images)	Satellite sensor	Bands used
30.08.2001	13.10.2001	SPOT 2	Band 1: NIR Band 2: red Band 3: green
01.10.2002	30.09.2002	LANDSAT 7 ETM+	Band 1: blue-green Band 2: green Band 3: red Band 4: NIR Band 5: SWIR Band 7: SWIR
11.08.2003	25.09.2003	LANDSAT 5 TM	Band 1: blue-green Band 2: green Band 3: red Band 4: NIR Band 5: SWIR Band 7: SWIR
03.09.2004	10.08.2004	LANDSAT 5 TM	Band 1: blue-green Band 2: green Band 3: red Band 4: NIR Band 5: SWIR Band 7: SWIR
30.08.2005	19.09.2005	SPOT 2	Band 1: NIR Band 2: red Band 3: green
07.09.2006	11.09.2006	SPOT 4	Band 1: NIR Band 2: red Band 3: green
16.09.2007	08.10.2007	SPOT 2	Band 1: NIR Band 2: red Band 3: green
31.08.2008	06.11.2008	SPOT 4	Band 1: NIR Band 2: red Band 3: green
20.08.2009	24.08.2009	LANDSAT 5 TM	Band 1: blue-green Band 2: green Band 3: red Band 4: NIR Band 5: SWIR Band 7: SWIR
22.08.2010	21.09.2010	LANDSAT 5 TM	Band 1: blue-green Band 2: green Band 3: red Band 4: NIR Band 5: SWIR Band 7: SWIR
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- Full object-based
- Testing:
  - Object si
  - Object a
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  - Application
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- Scale level (necessary for good delineation of the boundaries of features)
  - = 50 for Landsat scenes
  - = 70 for SPOT scenes
  - = 50 for pan-sharpened images (2001, 2002, 2005 and 2011)
- a higher scale value = higher variability within each object  
= larger objects

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- Object aggregation (merging adjacent objects) → representing over- and under-segmentation
  - 0, 25, 50 and 75%

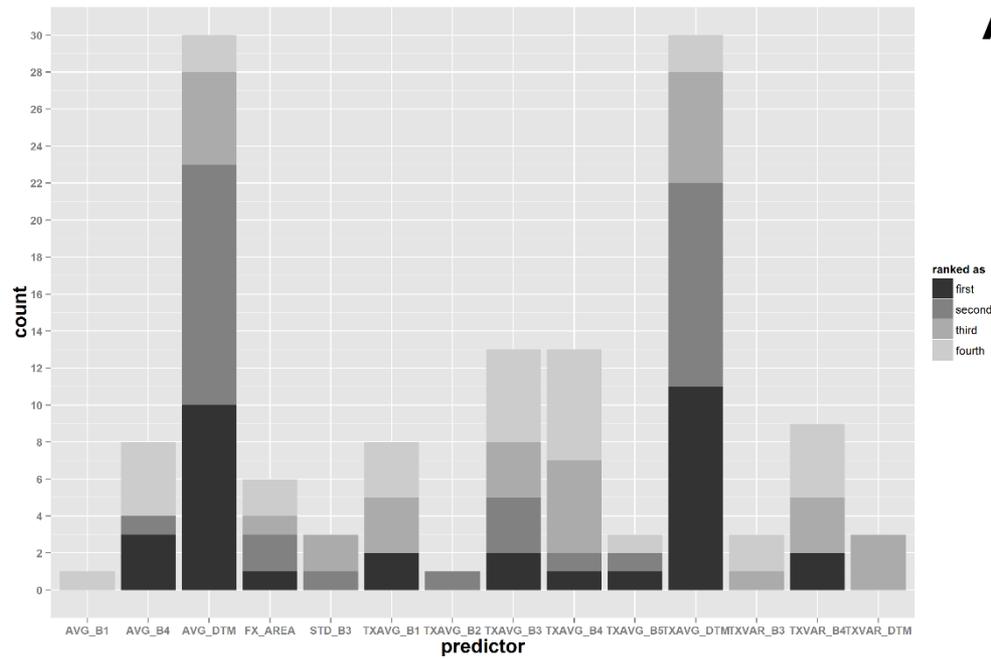


- Object metrics
  - Area
  - Compactness
  - Roundness
  - Form factor
  - No. of holes
  - Mean and STDV
  - Texture (3×3 kernel)
  - Mean/STDV, Mean/STDV of texture from DTM

# Results

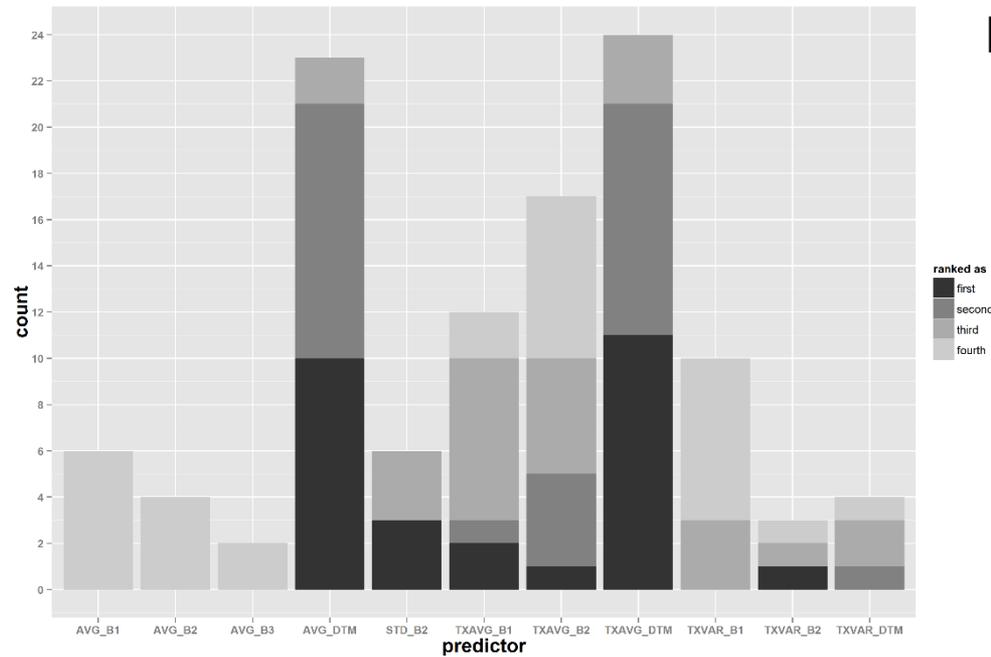
## I- Predictor Importance

**A**

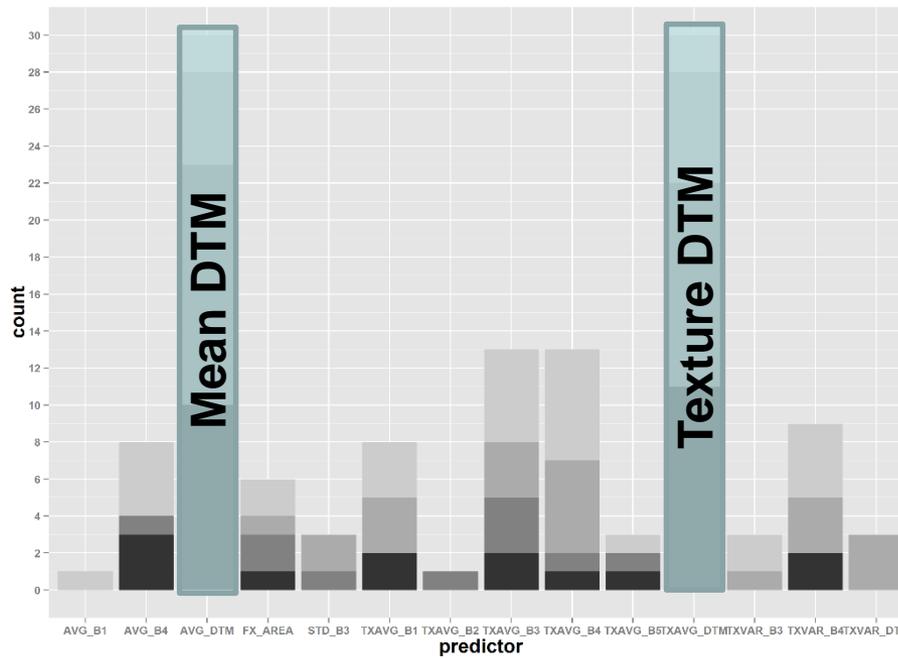


Landsat time series

**B**



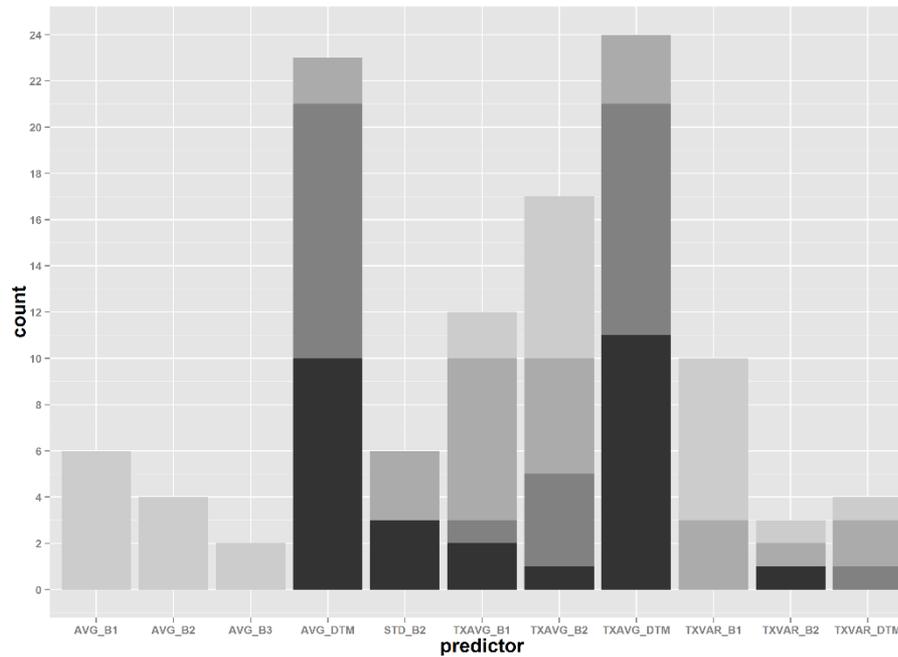
SPOT time series



**A**



Landsat time series

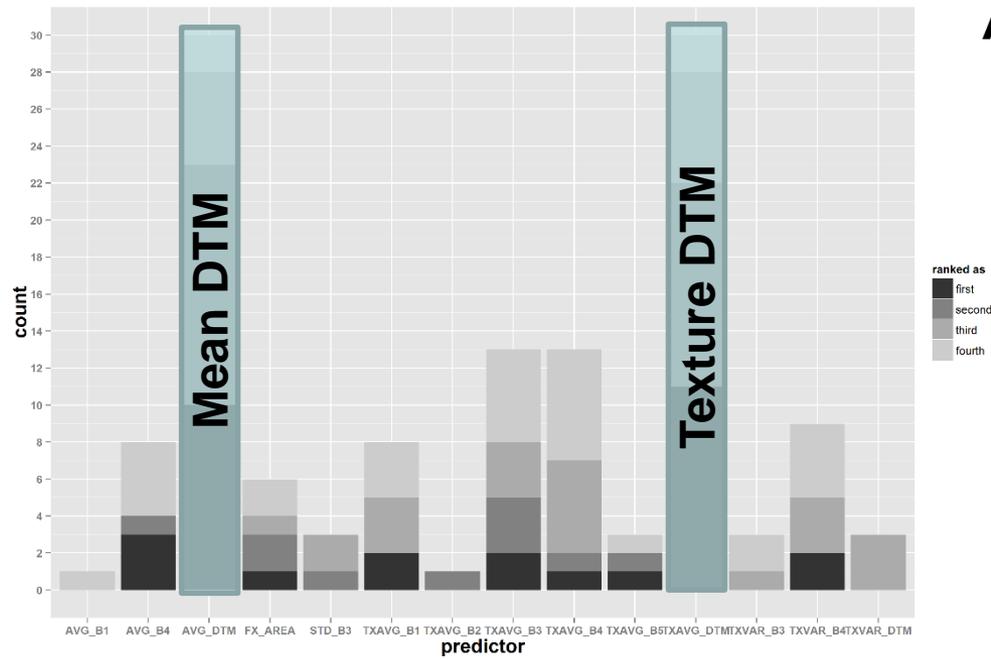


**B**

SPOT time series

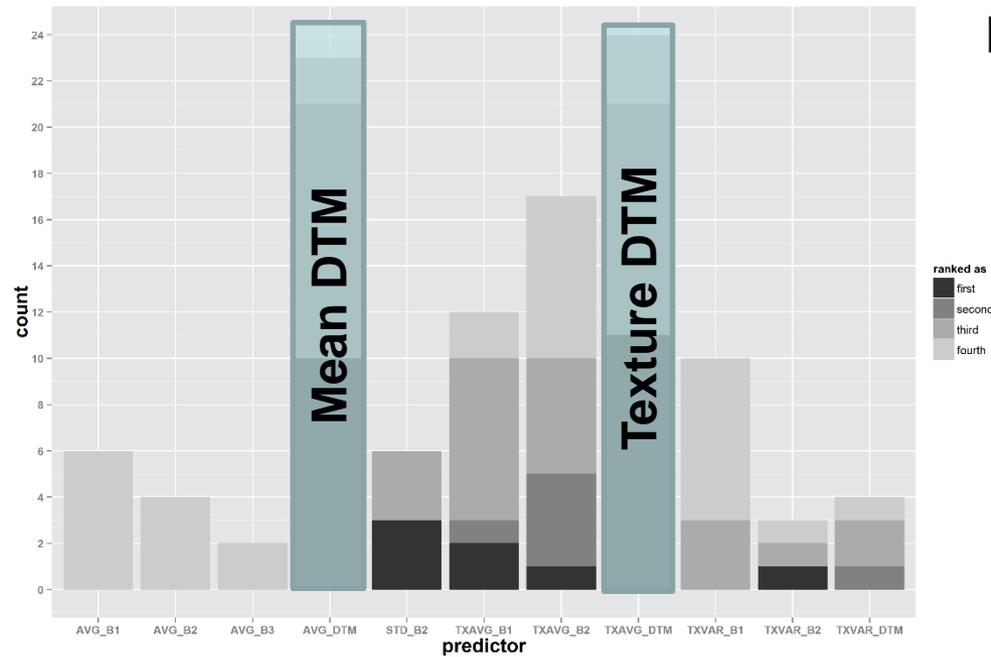
*. Progress in Physical  
'55-785*

**A**



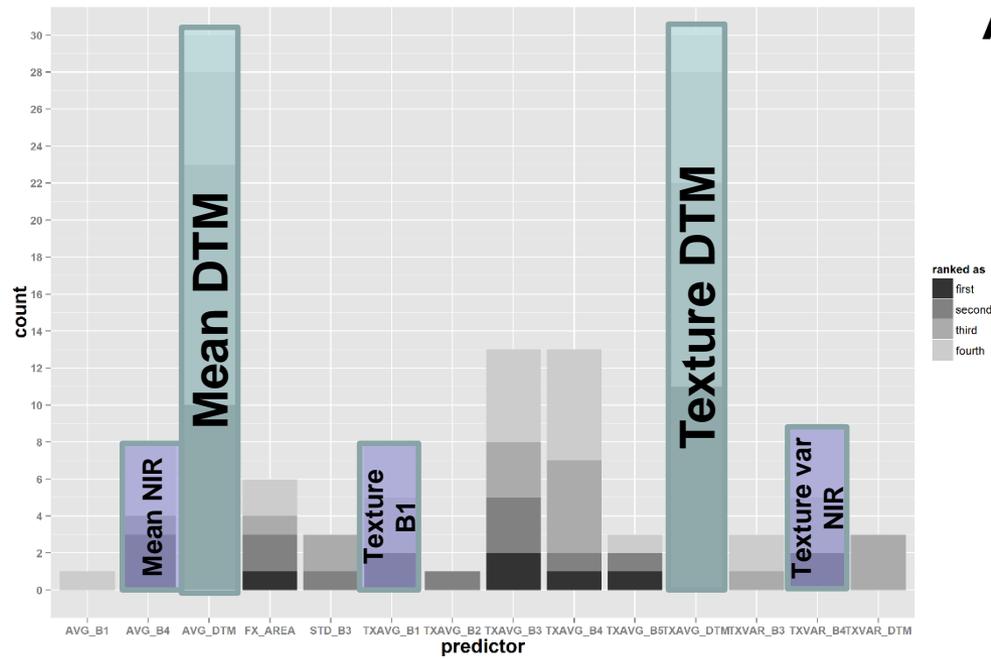
Landsat time series

**B**

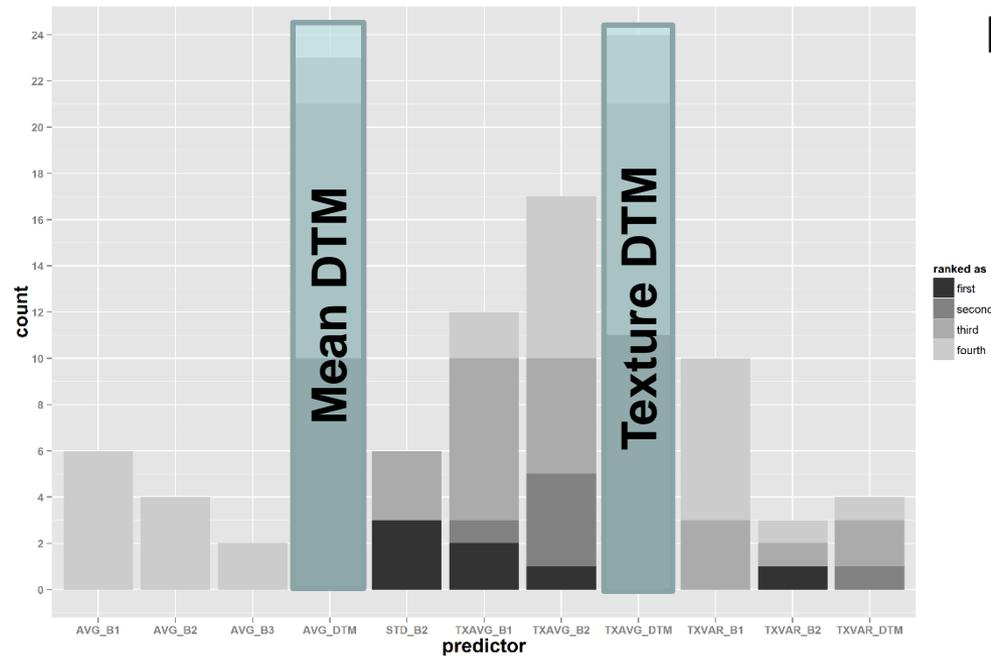


SPOT time series

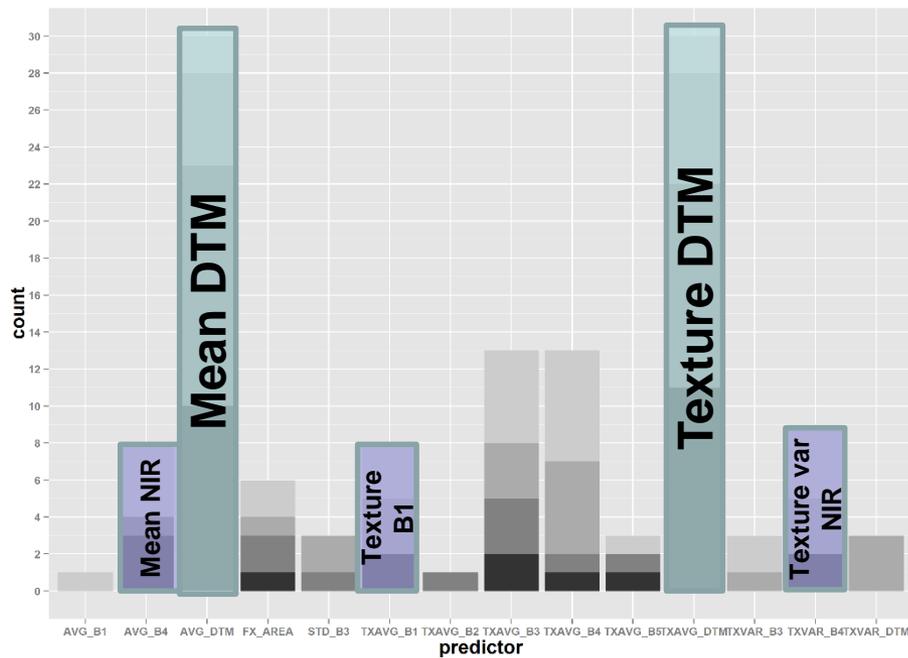
*. Progress in Physical  
'55-785*



Landsat time series



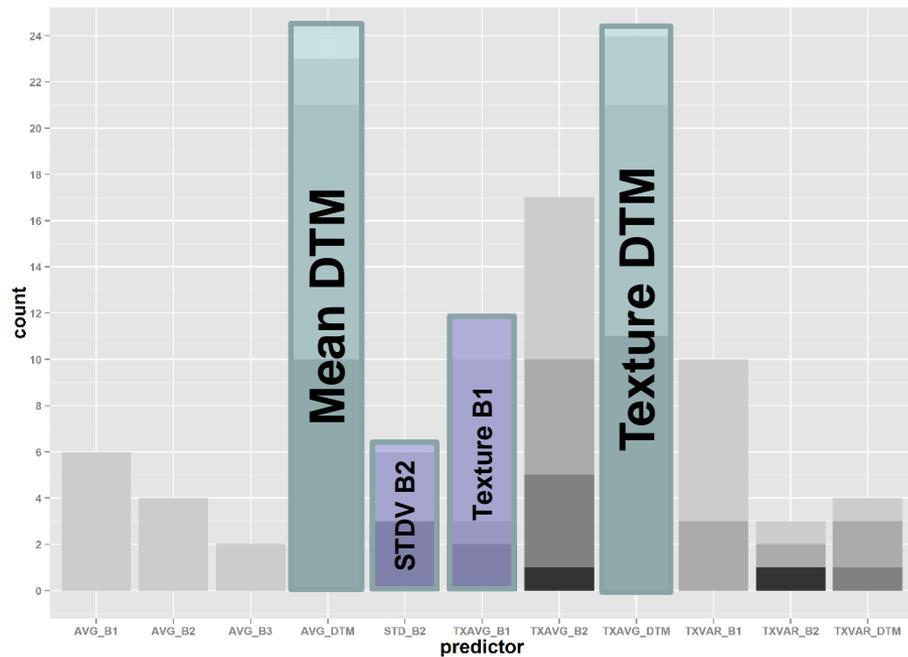
SPOT time series



**A**



Landsat time series



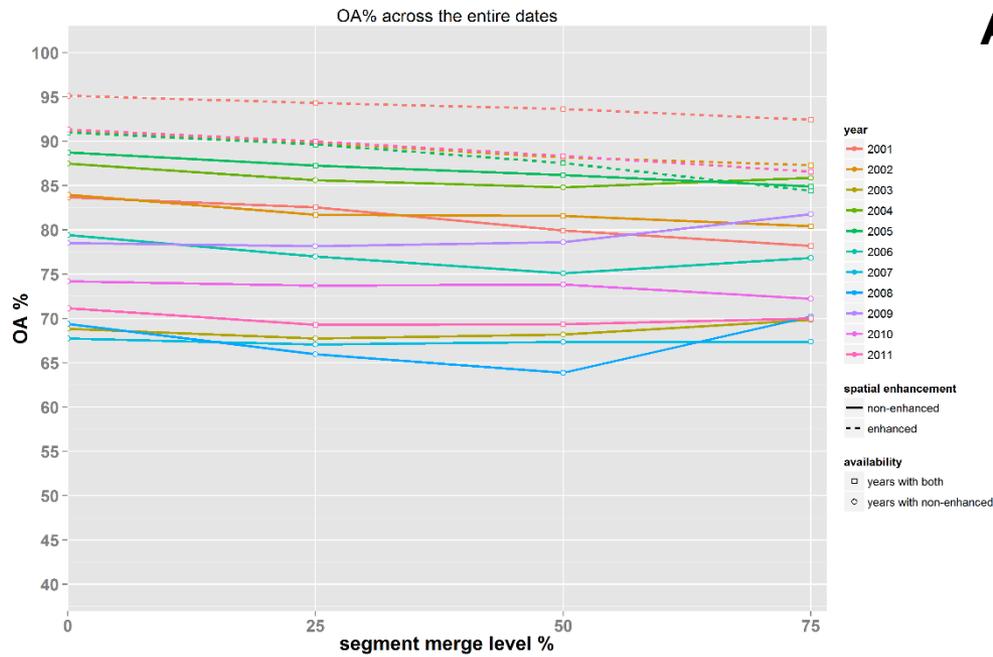
**B**

SPOT time series

*. Progress in Physical  
'55-785*

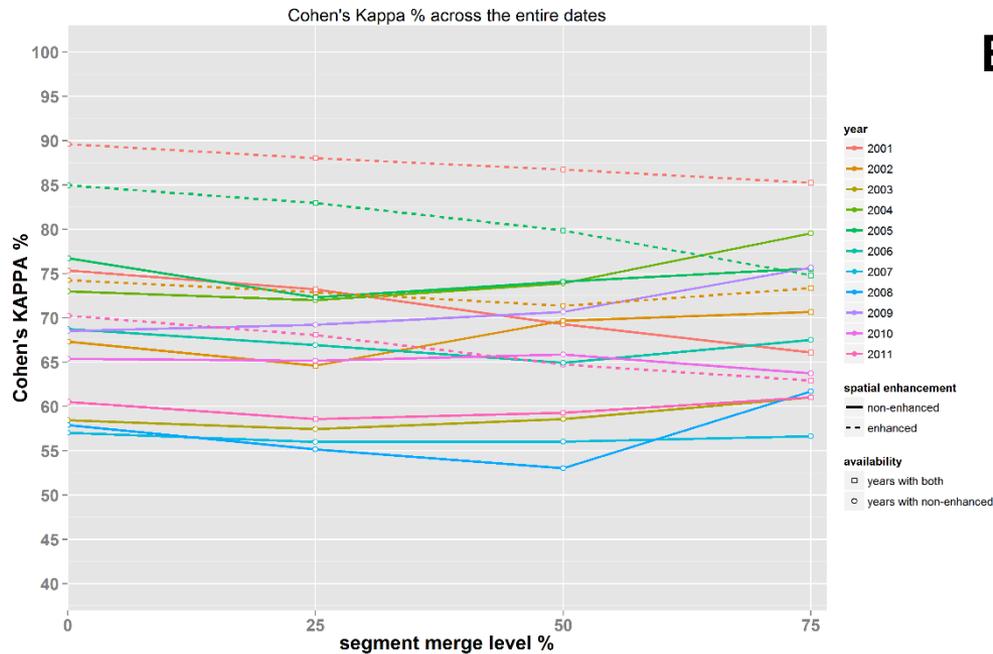
# Results II- performance

**A**



OA%

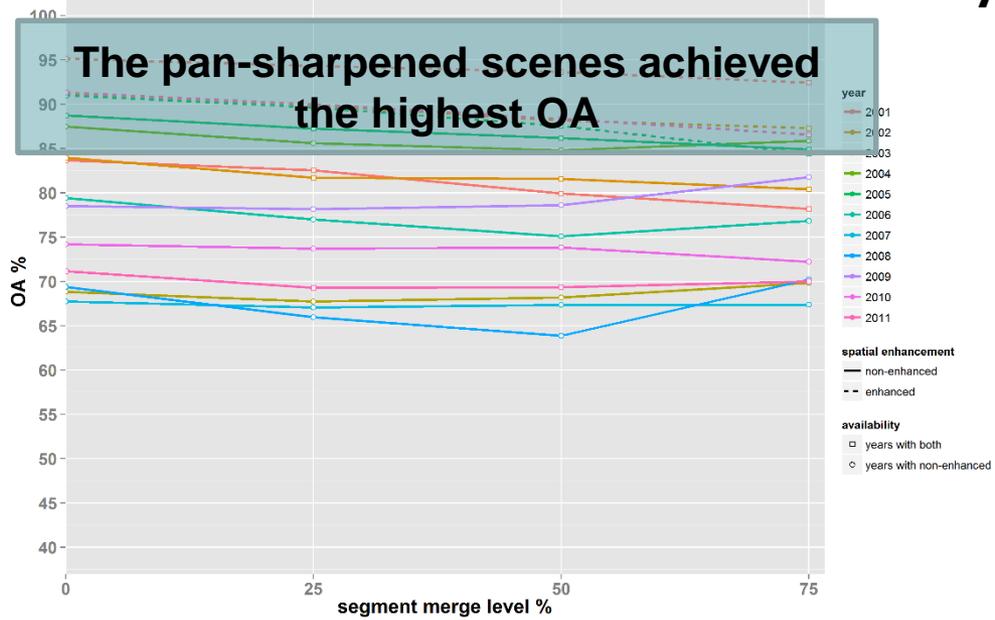
**B**



Kappa%

*. Progress in Physical  
'55-785*

OA% across the entire dates

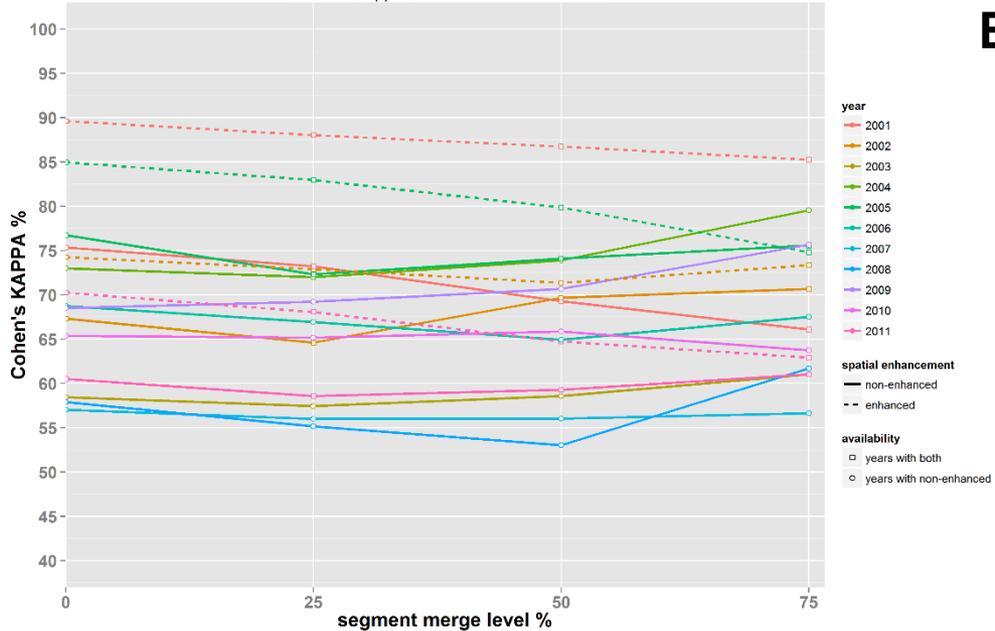


**A**



OA%

Cohen's Kappa % across the entire dates

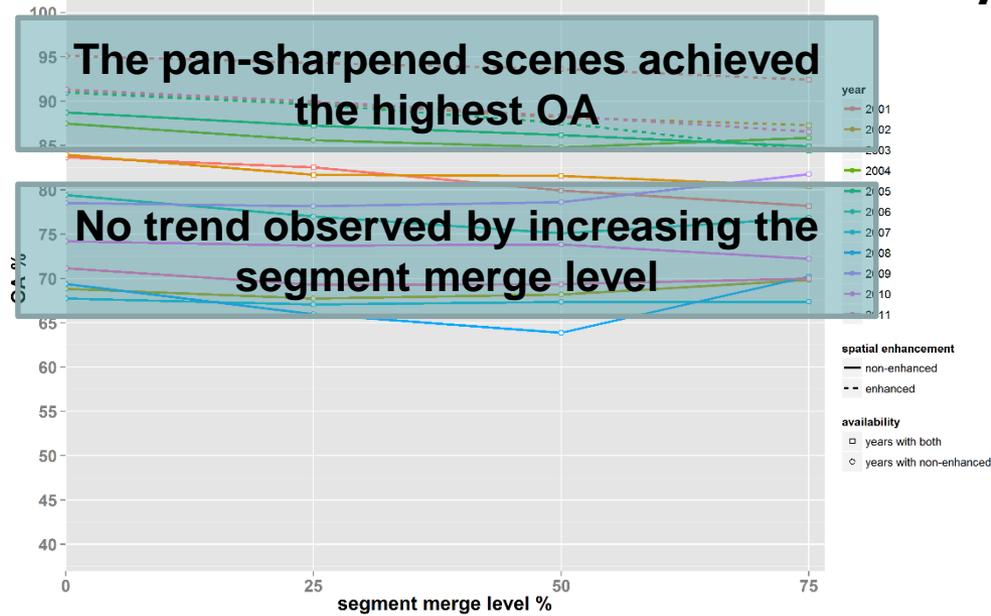


**B**

Kappa%

*Progress in Physical  
'55-785*

OA% across the entire dates

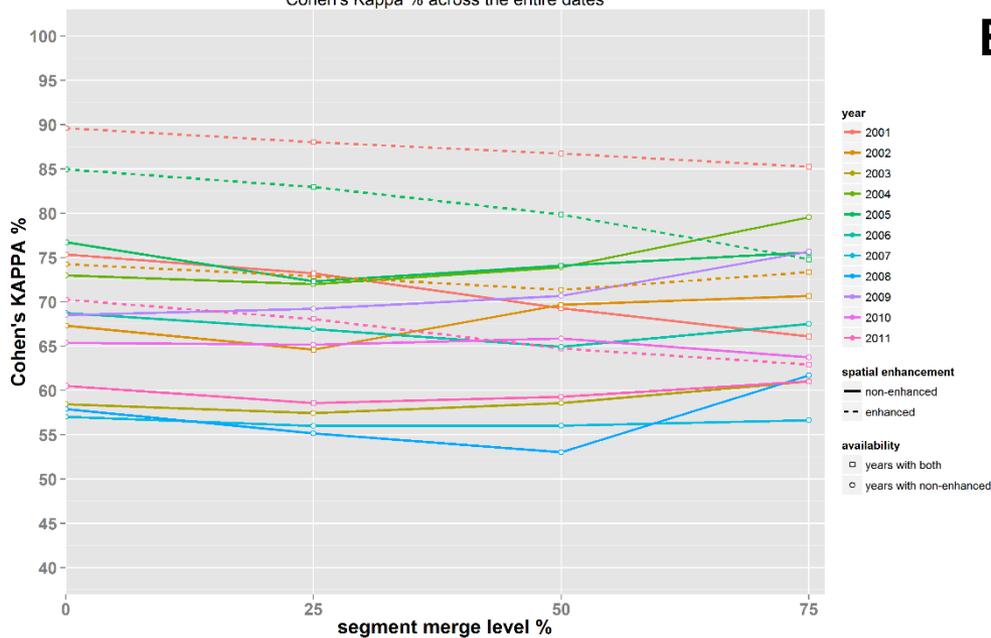


**A**



OA%

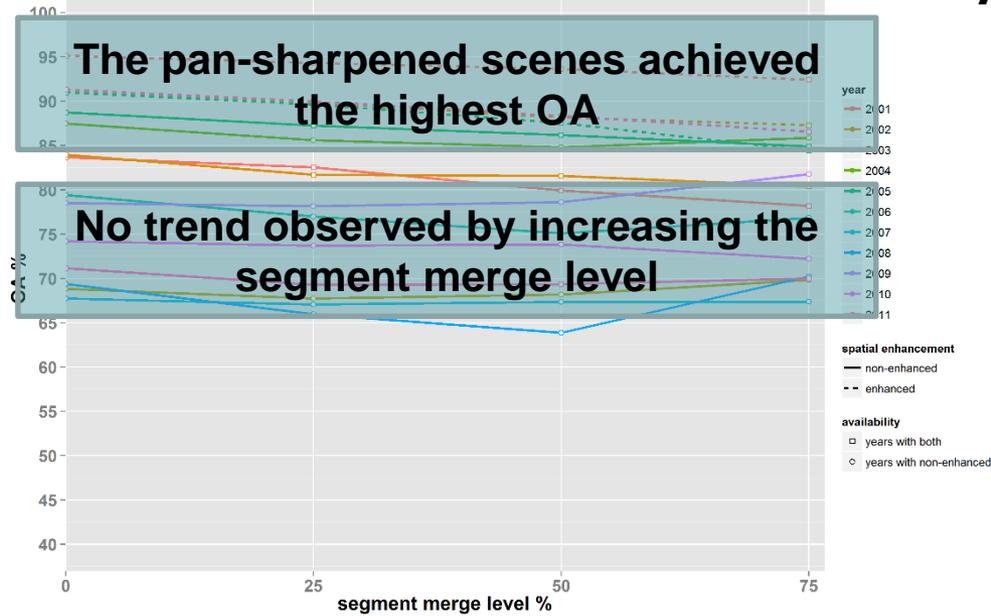
Cohen's Kappa % across the entire dates



**B**

Kappa%

OA% across the entire dates

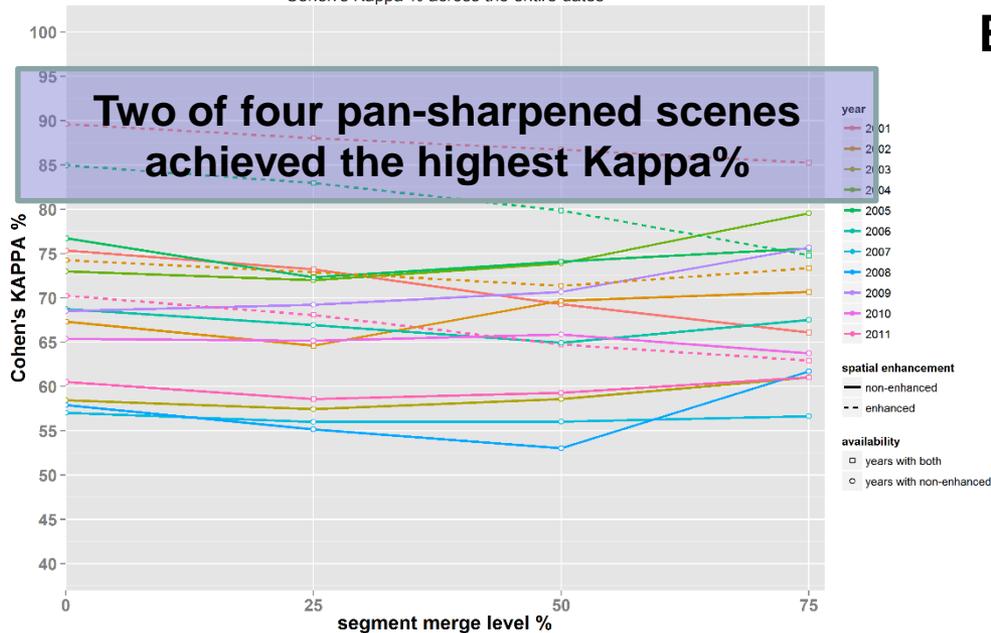


**A**



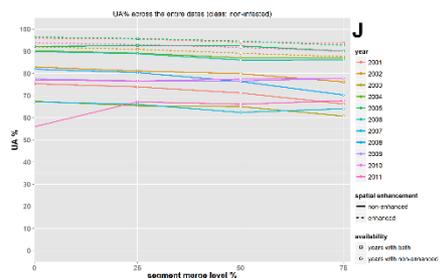
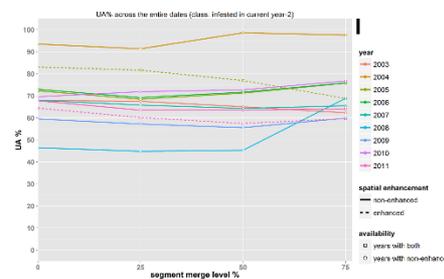
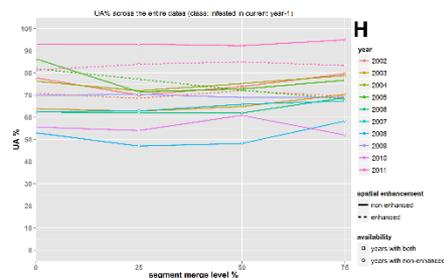
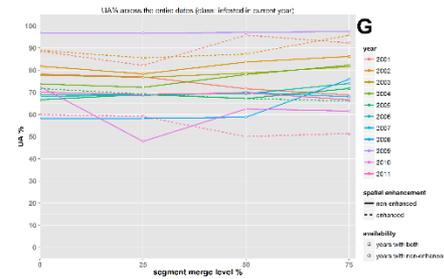
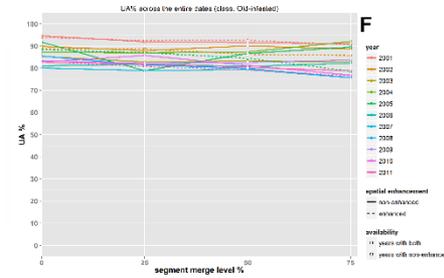
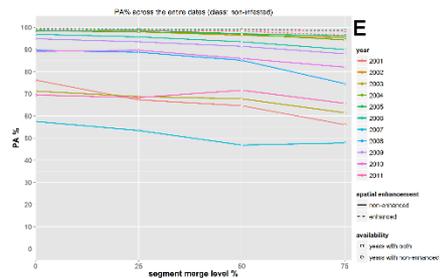
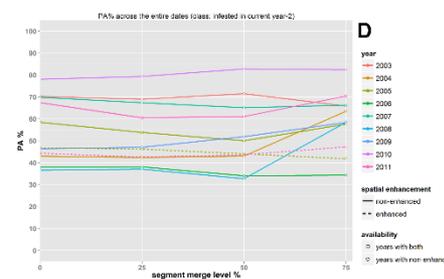
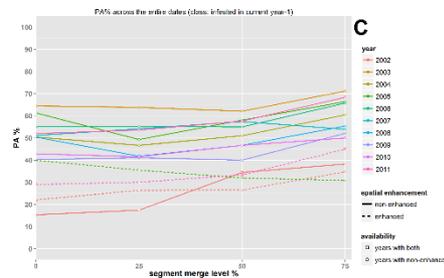
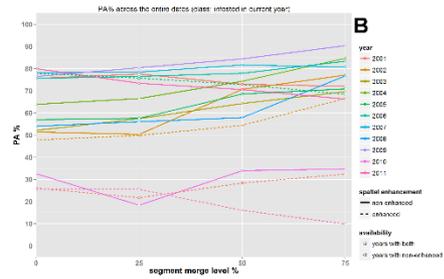
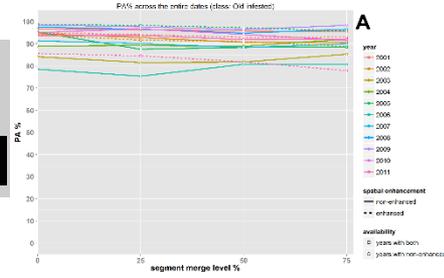
OA%

Cohen's Kappa % across the entire dates



**B**

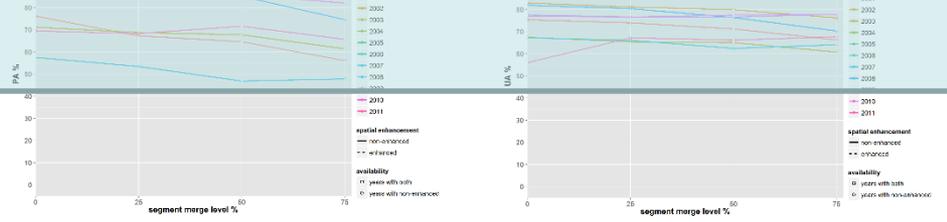
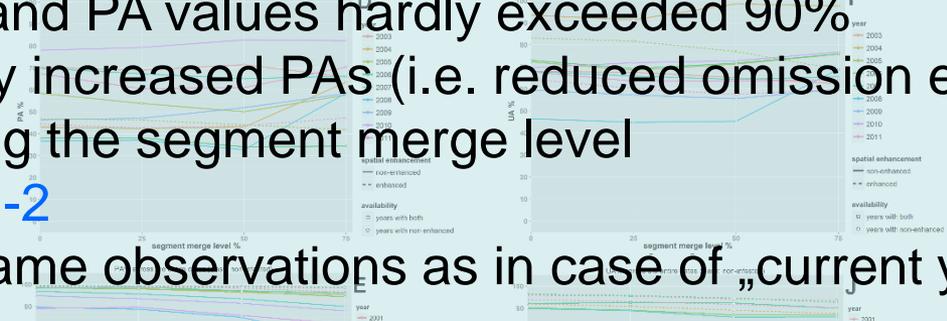
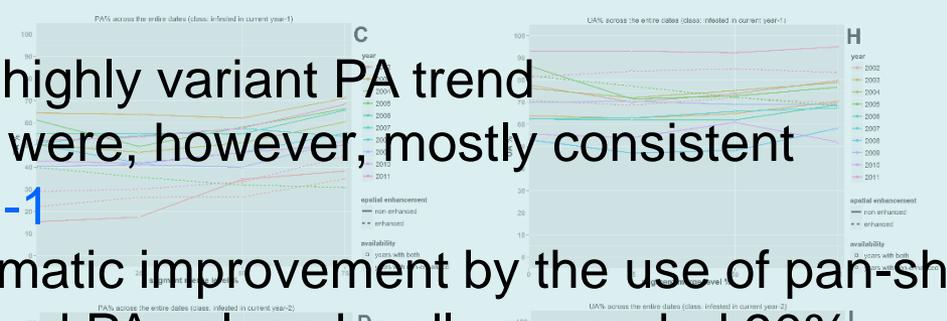
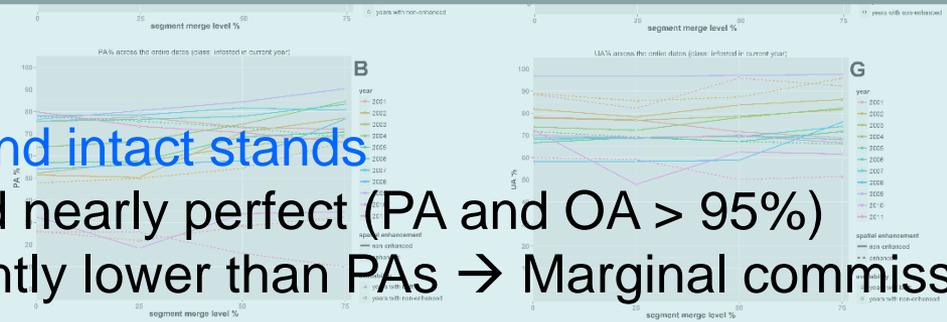
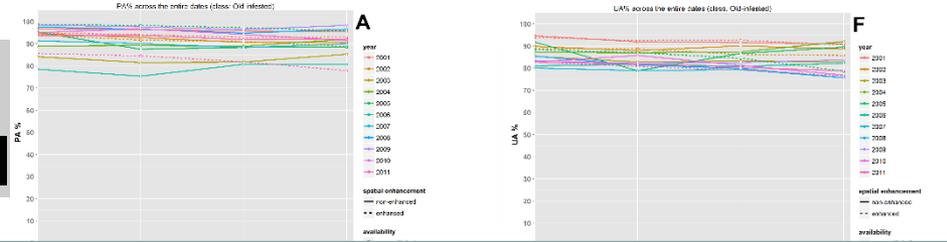
Kappa%



S



**S**

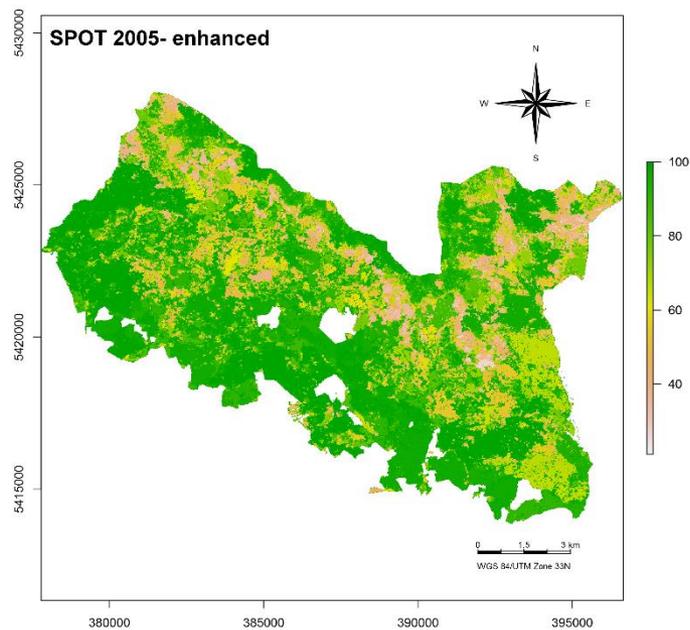
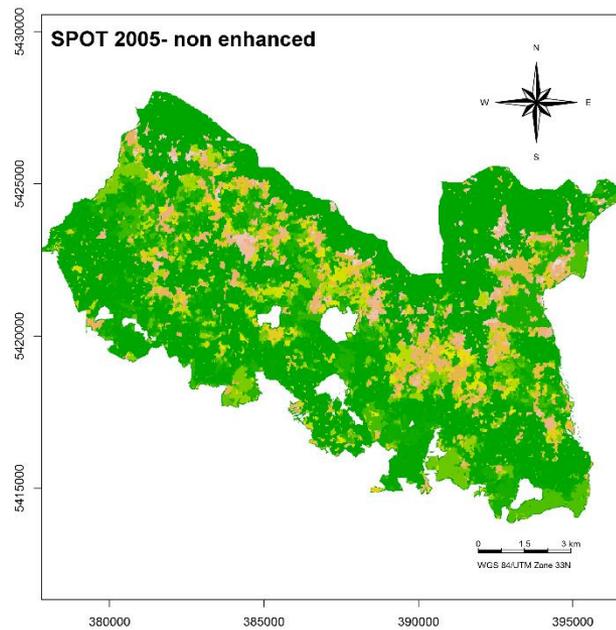
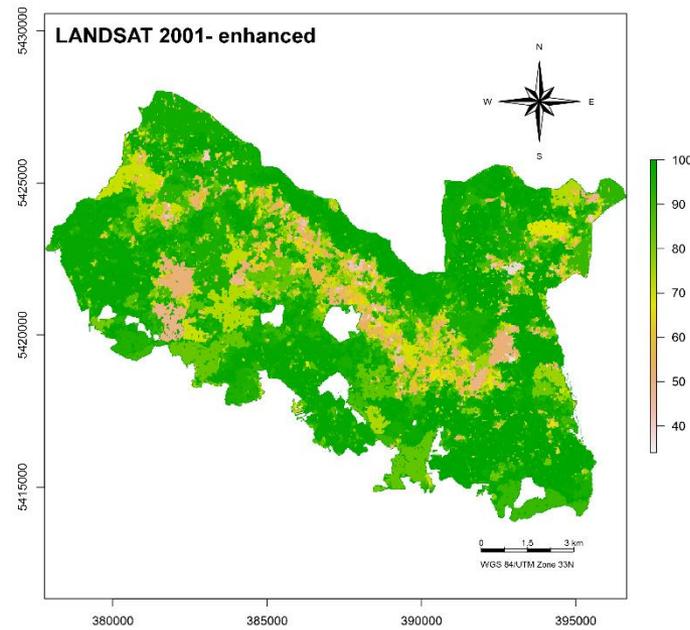
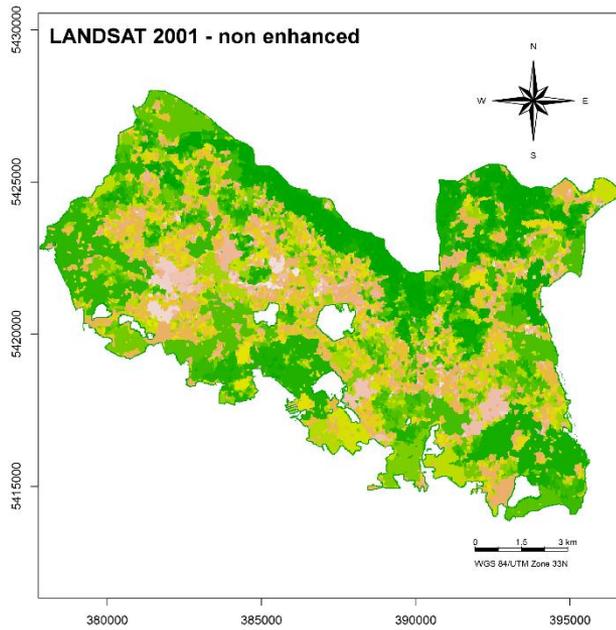


**Summary:**

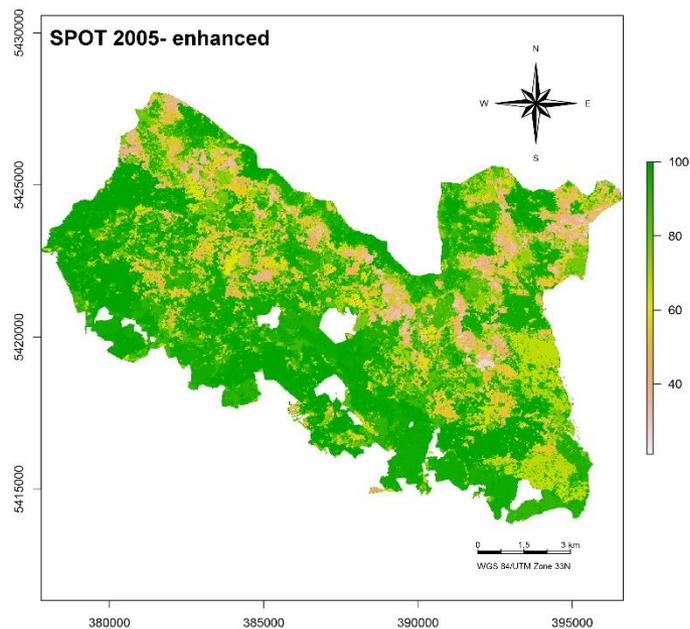
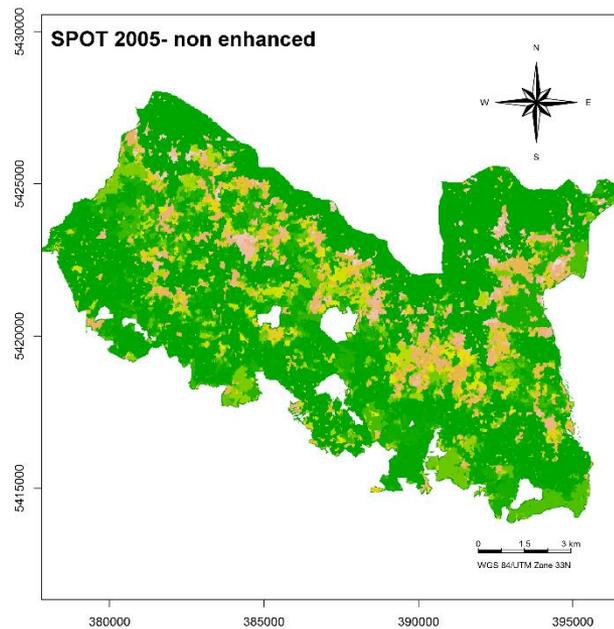
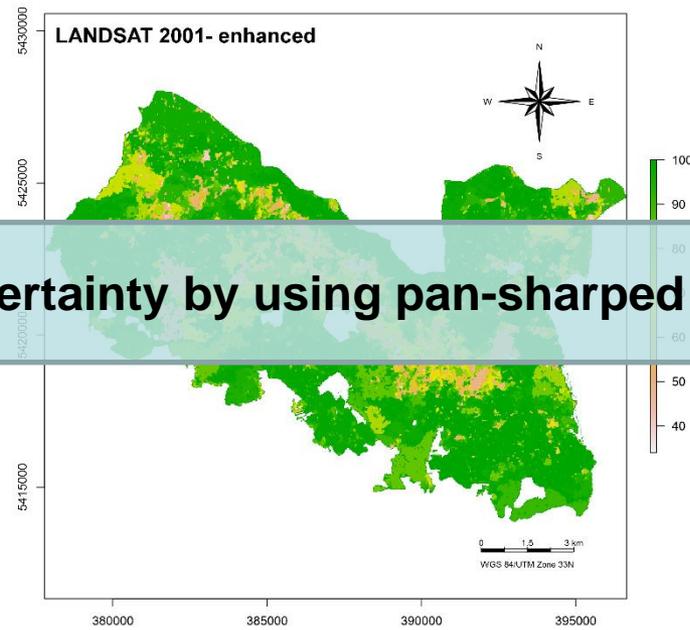
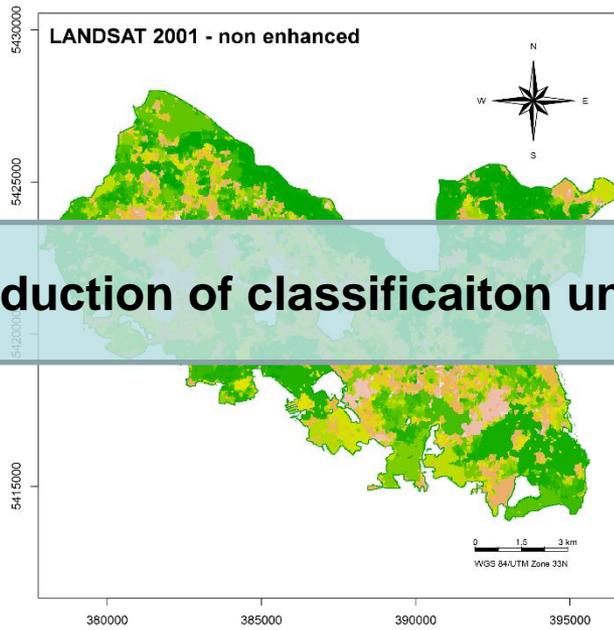
- **Deadwood and intact stands**
  - classified nearly perfect (PA and OA > 95%)
  - UAs slightly lower than PAs → Marginal commission error
- **Current year**
  - showed highly variant PA trend
  - UA rates were, however, mostly consistent
- **Current year -1**
  - No systematic improvement by the use of pan-sharpened data
  - The UA and PA values hardly exceeded 90%
  - Generally increased PAs (i.e. reduced omission errors) by increasing the segment merge level
- **Current year -2**
  - Nearly same observations as in case of „current year-1“ class

## IV- Probability surfaces for correct classification

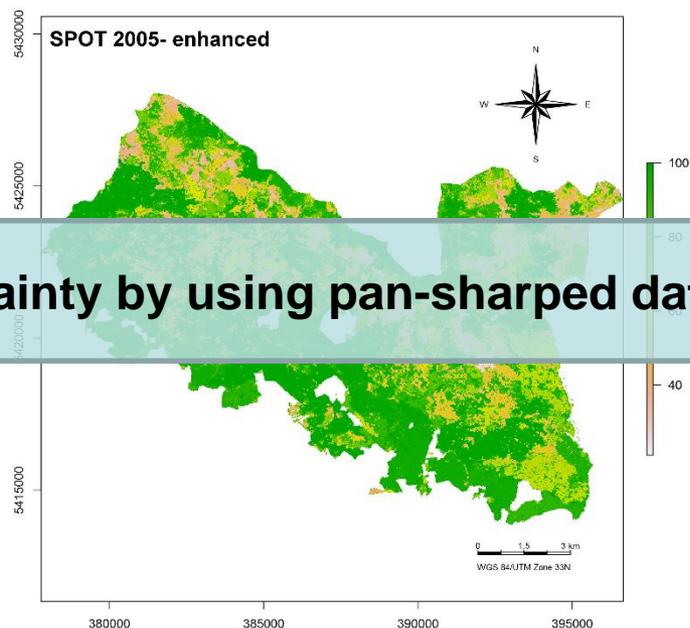
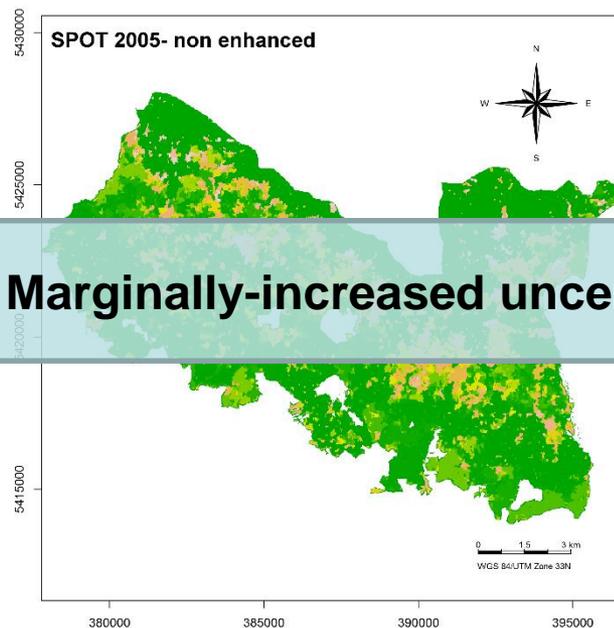
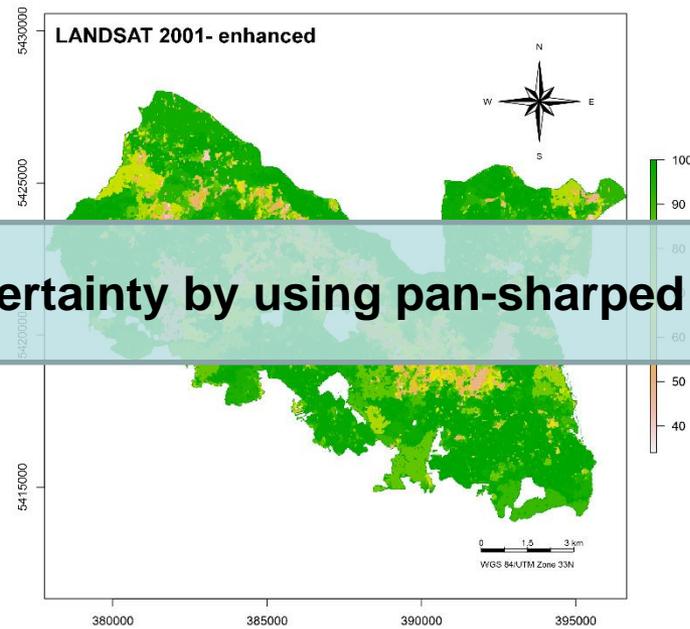
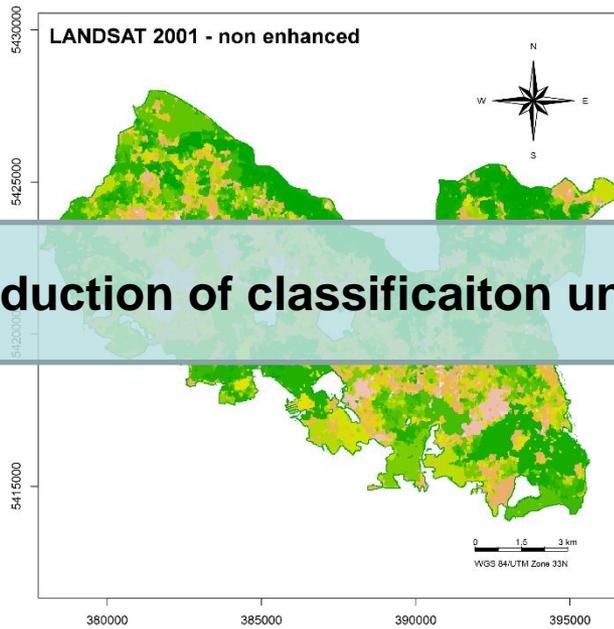




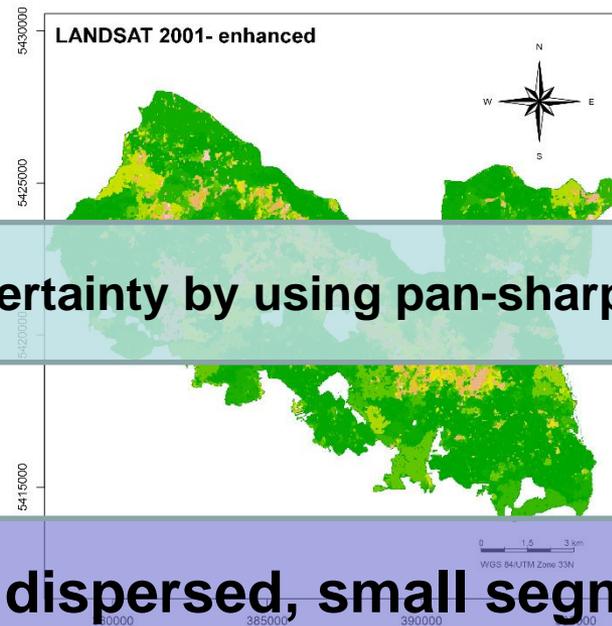
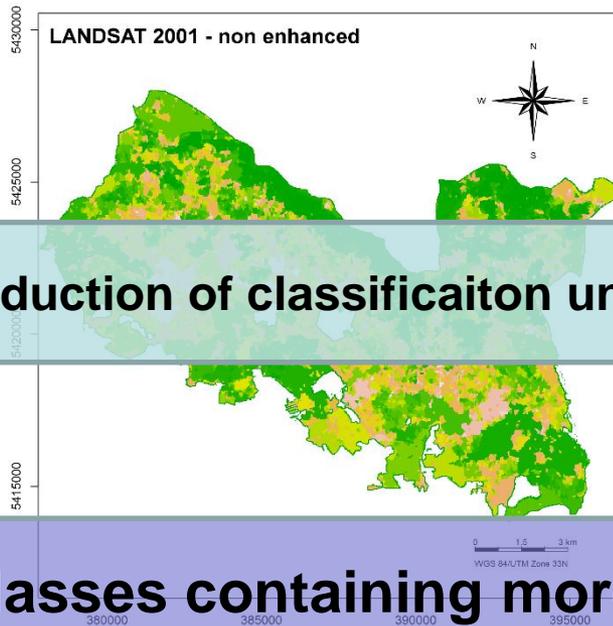
# Reduction of classification uncertainty by using pan-sharpened data



**Reduction of classification uncertainty by using pan-sharped data**

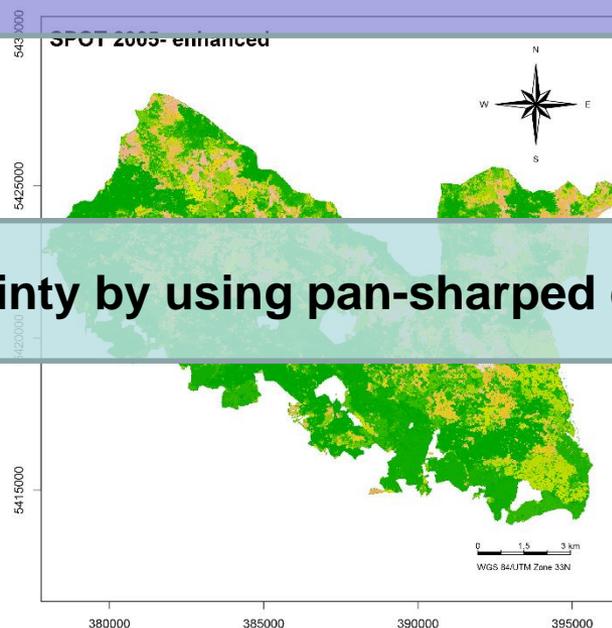
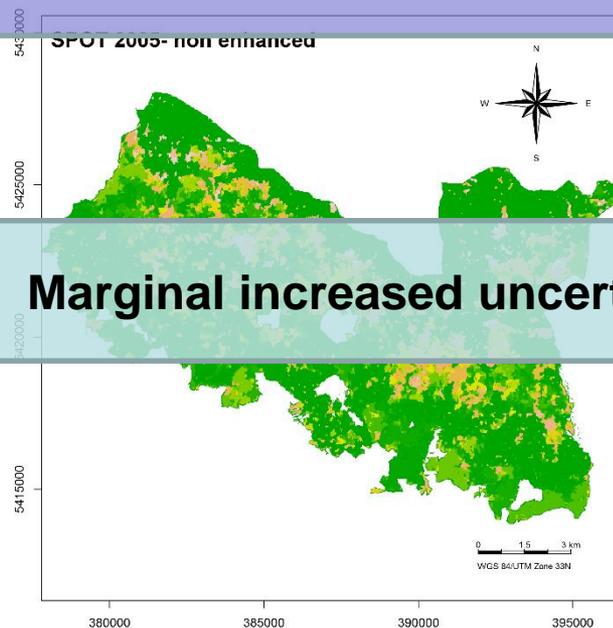


**Marginally-increased uncertainty by using pan-sharped data**



**Reduction of classification uncertainty by using pan-sharped data**

**The classes containing more dispersed, small segments are more subject to misclassification**



**Marginal increased uncertainty by using pan-sharped data**

- Medium resolution EO data could be leveraged to classify tree mortality, **provided that:**
  - The image Acquisition dates lie near to the reference data collection
  - An object based paradigm is applied
  - The infested patches conform the spatial resolution of the imagery
  - The right classifier is employed
  - The classes are realistically defined
  - Terrain information is there → DTM or DSM
  - An in-depth assessment of uncertainty is on-board !

# Conclusions

## Limitations

- The lack of narrow-band information on Rededge and SWIR domains
  - Suggestions: RapidEye or Sentinel II data (yet in shorter time spans)

## Limitations

- The lack of narrow-band information on Rededge and SWIR domains
  - Suggestions: RapidEye or Sentinel II data (yet in shorter time spans)
- Heterogeneity of object forms, sizes and texture
- Segment merging level and pan-sharpening are still of uncertain status for their contribution to mapping quality

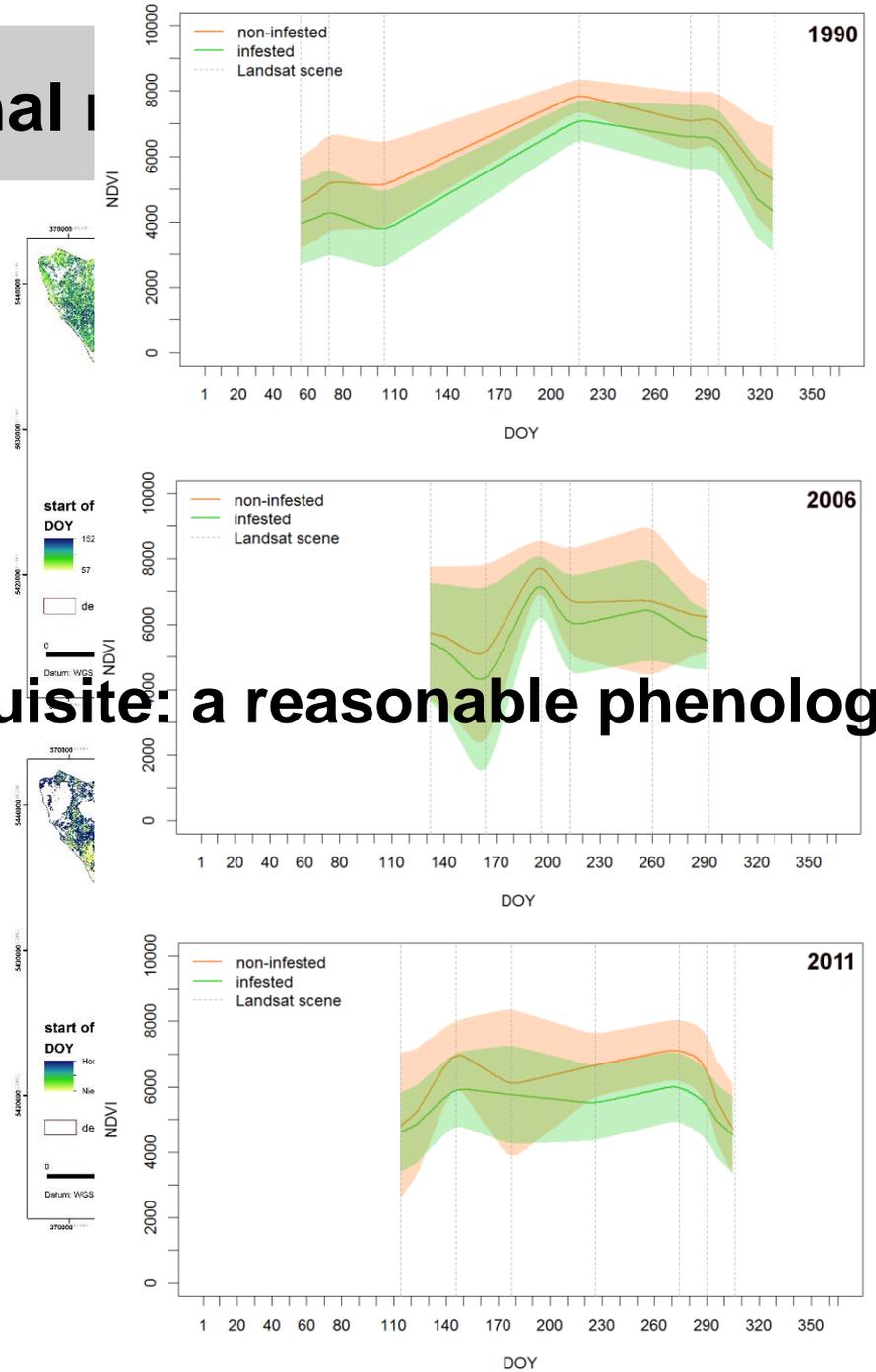
## Limitations

- The lack of narrow-band information on Rededge and SWIR domains
  - Suggestions: RapidEye or Sentinel II data (yet in shorter

## Take-Home messages

- Early detection of tree mortality by mid-res optical data still is (and further remain) a challenge
- Coupling the inherently-uncertain reference (in whatsoever form) and satellite-based EO data adds to the complexity

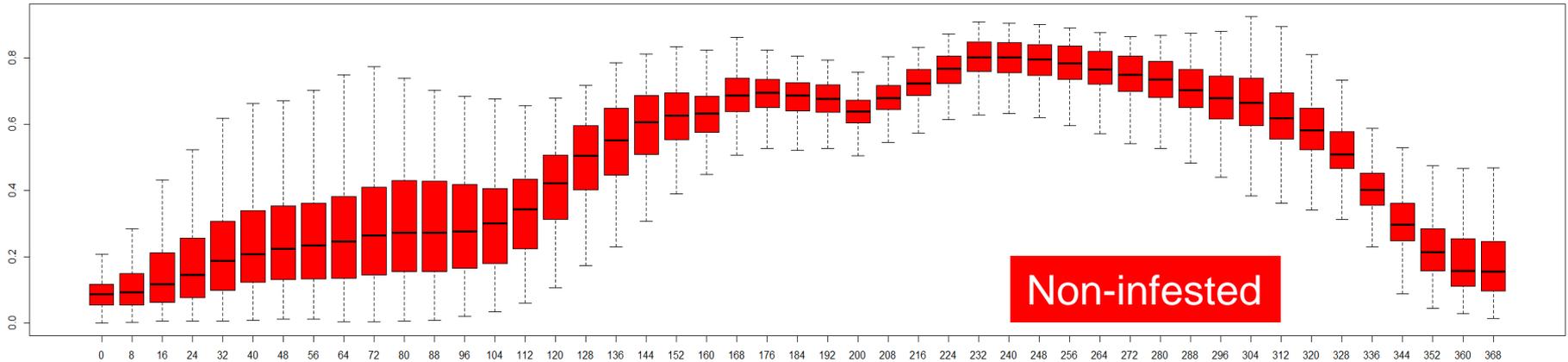
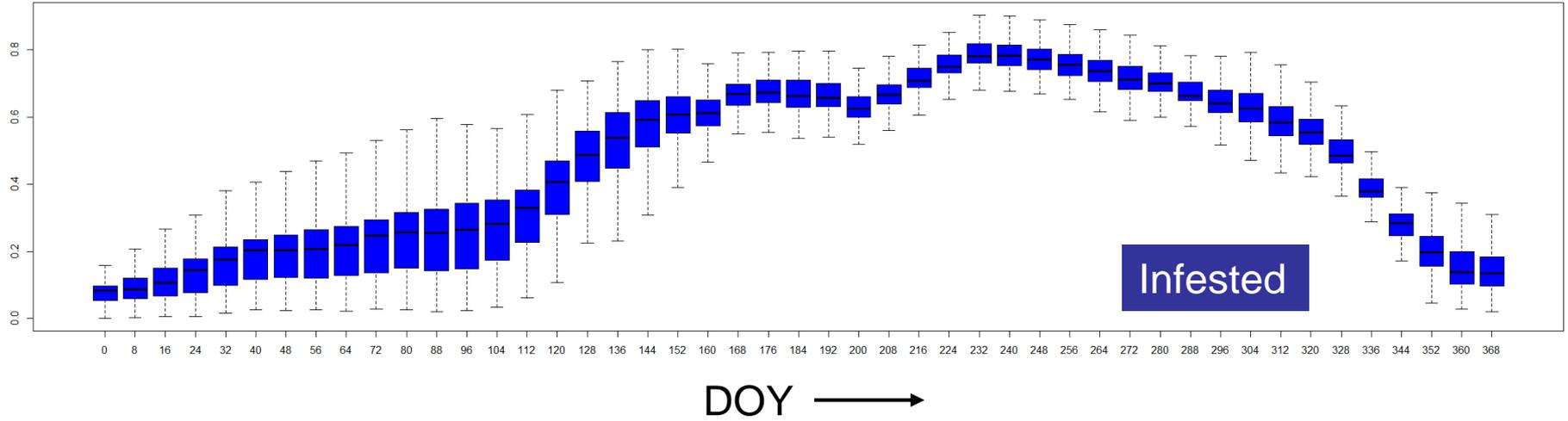


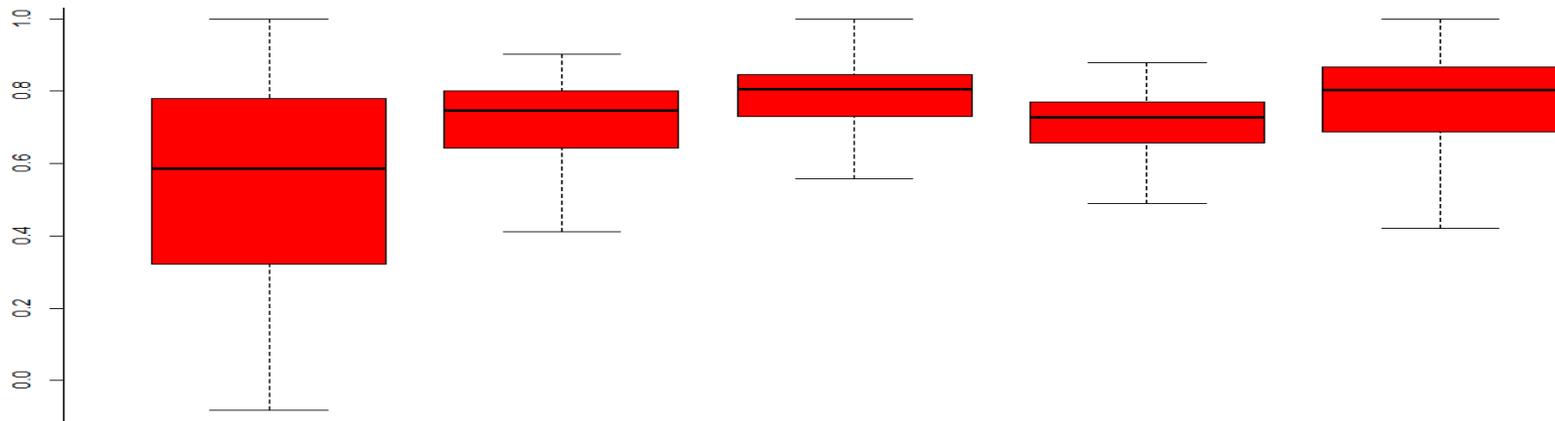
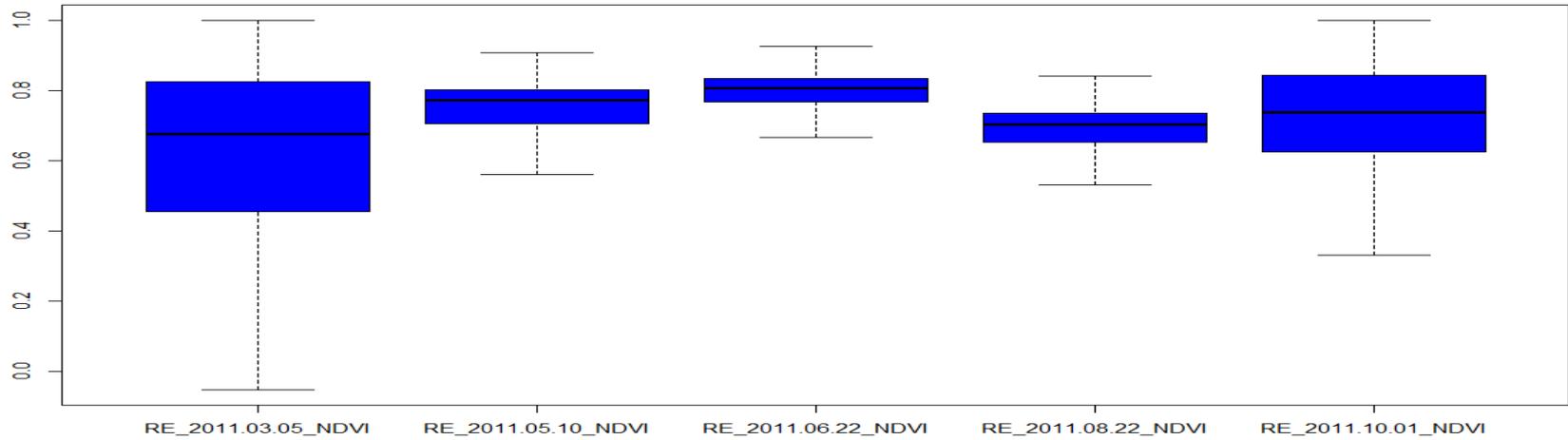


**Prerequisite: a reasonable phenological curve!**



# MODIS curve

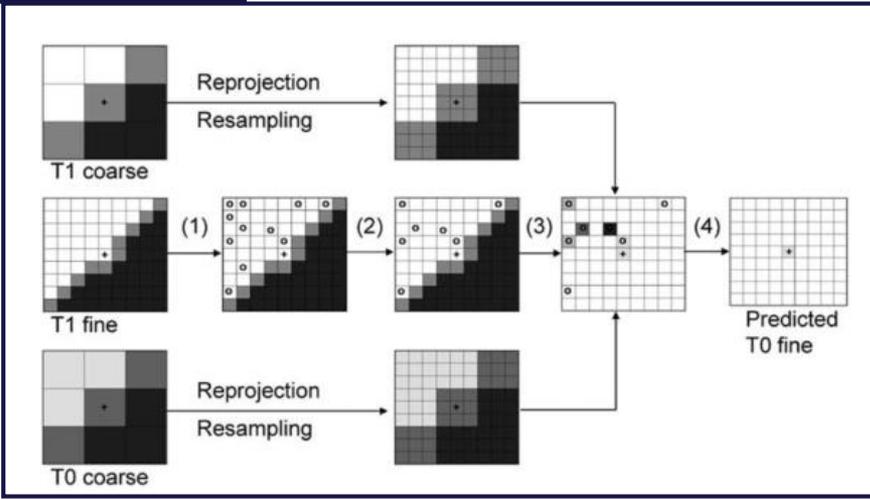




# How does spatial-temporal data fusion work?



## Local Part



$$L(x_{w/2}, y_{w/2}, t_0) = \sum_{i=1}^w \sum_{j=1}^w \sum_{k=1}^n W_{ijk}$$

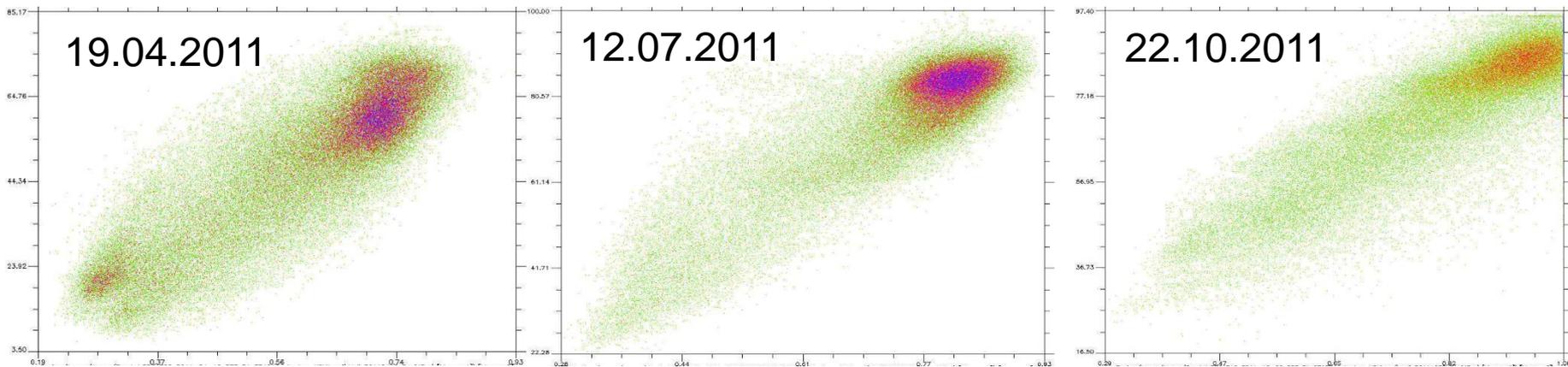
## Gobal Part

$$\times (M(x_i, y_j, t_0) + L(x_i, y_j, t_k) - M(x_i, y_j, t_k))$$

MR: MODIS  
HR: RapidEye

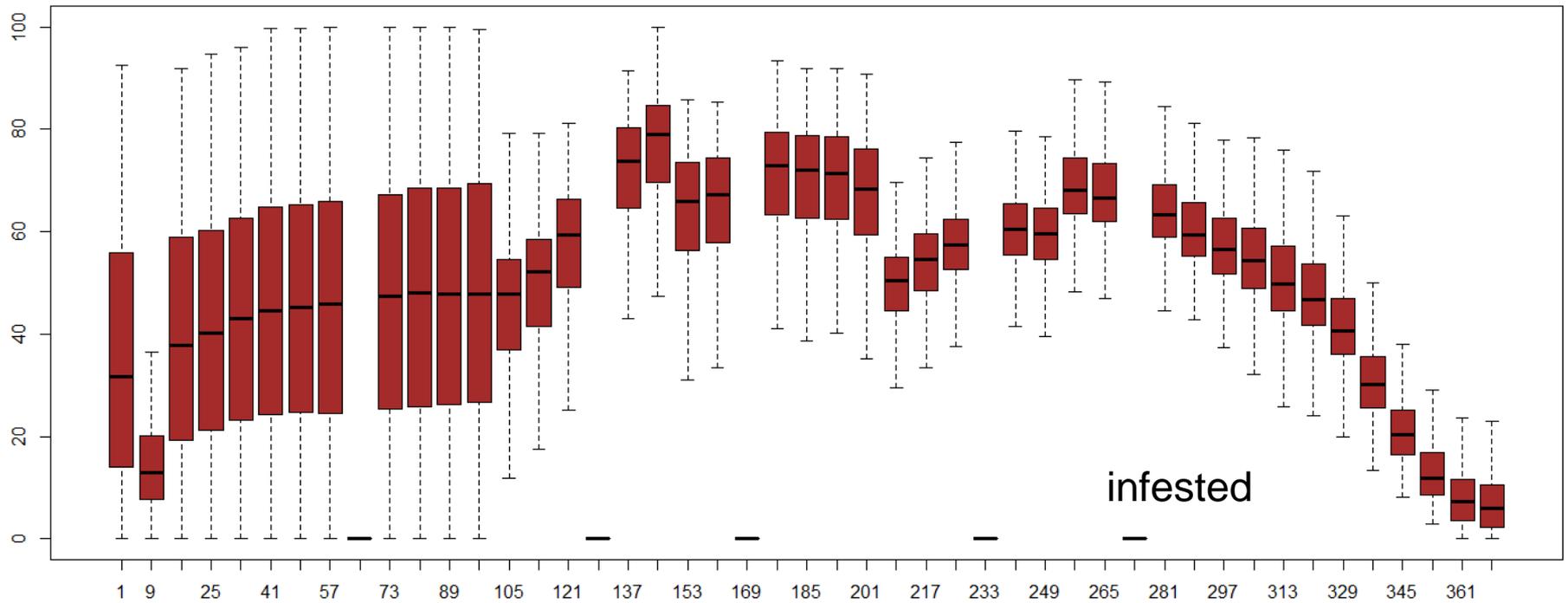
**STARFM** (Gao et al., 2006. *IEEE Trans.Geosci..Remote Sens.*

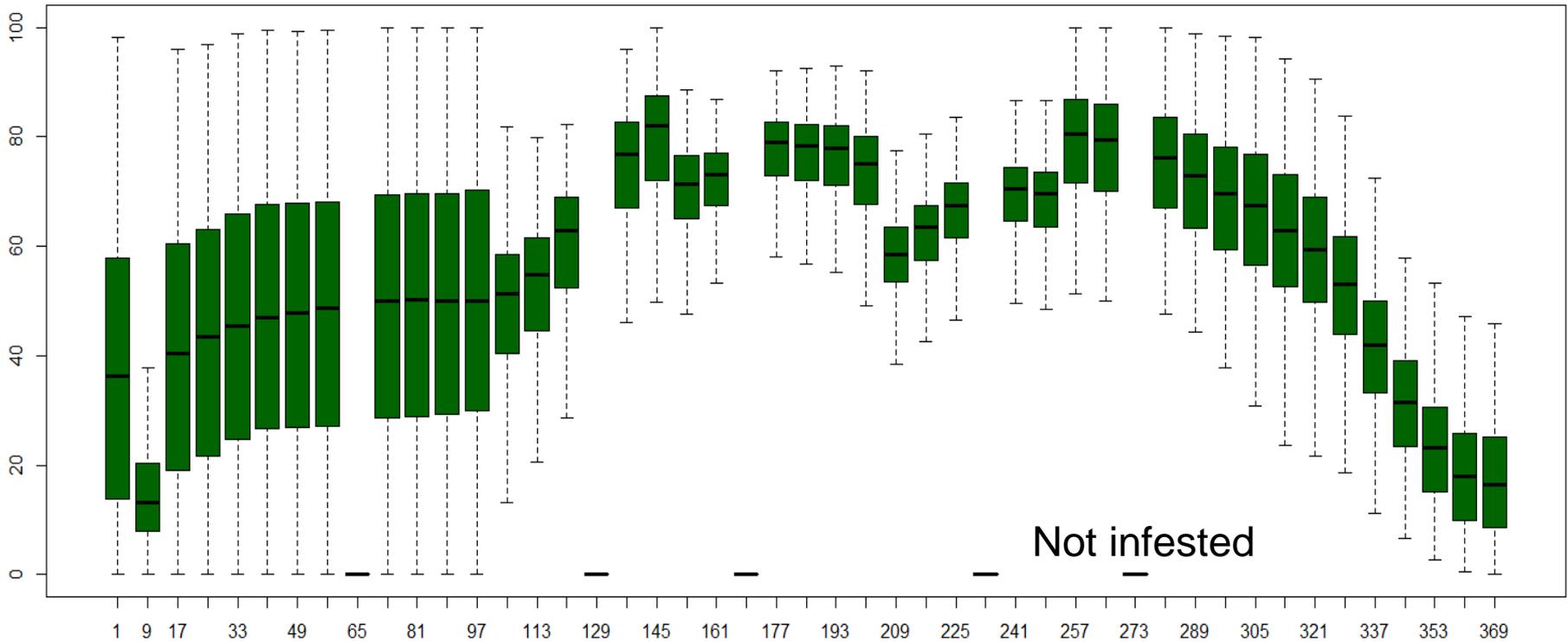
Date	R <sup>2</sup>	RMSE	MAE
2011.04.19	0.54	0.13	0.11
2011.07.12	0.53	0.13	0.11
2011.10.22	0.53	0.13	0.11

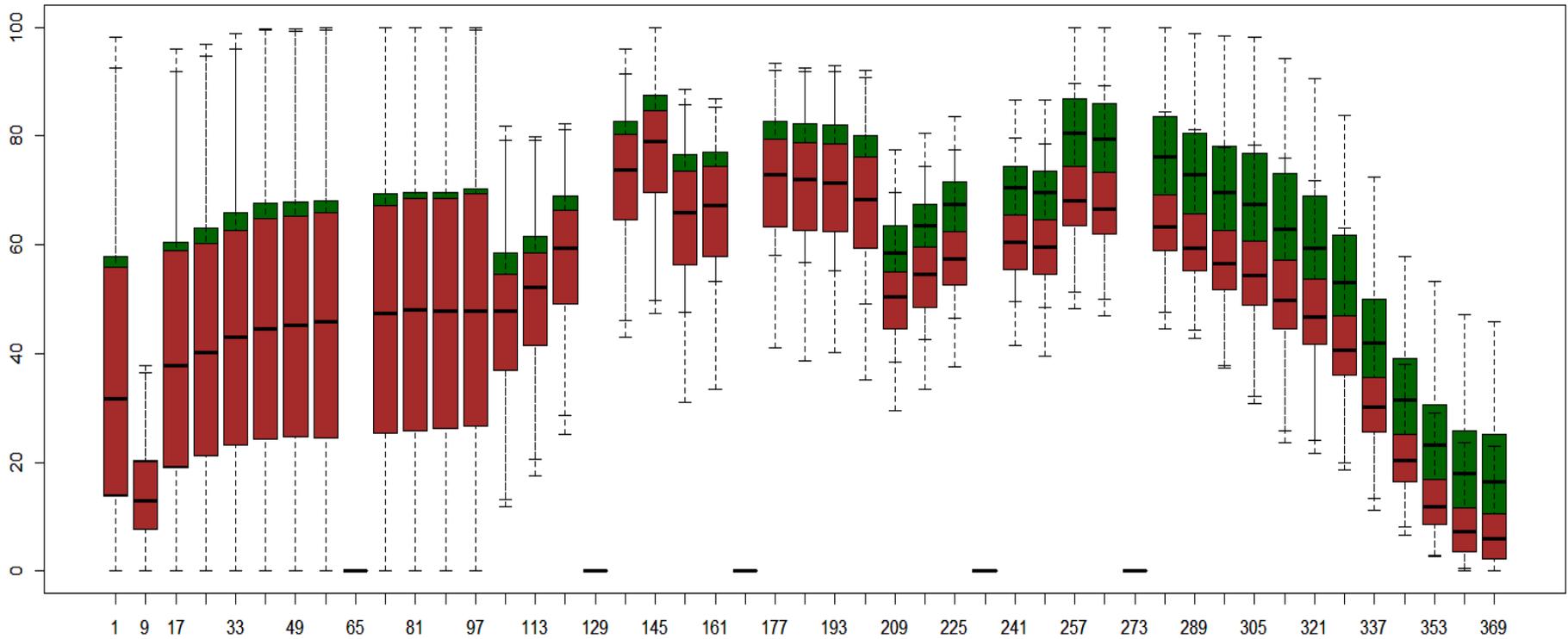


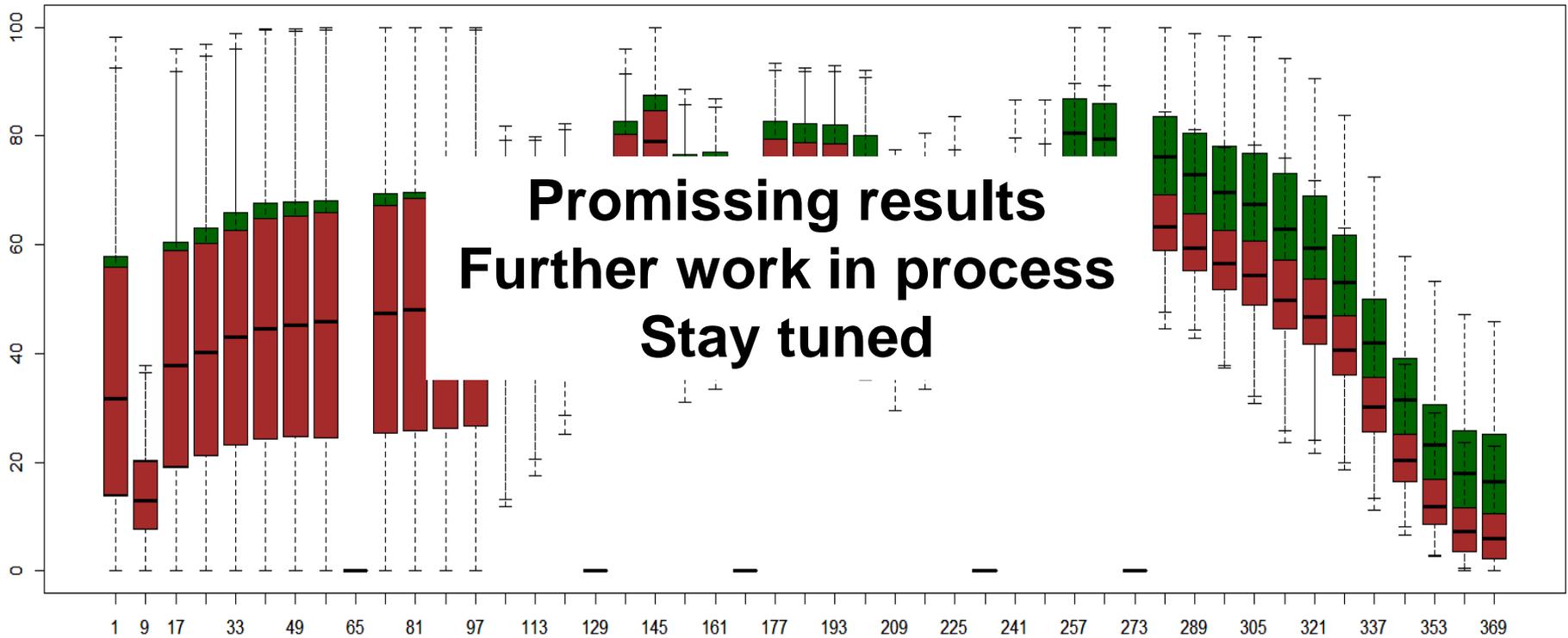
# Fused curve











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- BFNP administration
- ESA, SPOT Image and Planet Action
- RapidEye, DLR, BlackBridge and Planet Labs
- F. Fassnacht
- And many more

**Thank you !**

[Hooman.latifi@uni-wuerzburg.de](mailto:Hooman.latifi@uni-wuerzburg.de)